

Introduction to Preschool STAC Processing

STAC Homepage: https://www.oms.nysed.gov/stac/

STAC

System to Track and Account for Children (STAC)

The STAC and Medicaid Unit is the unit within the NYS Education Department responsible for processing requests for Commissioner's approval for reimbursement.

This includes reimbursement approval for the costs of providing services to preschool and school-age students placed in special education programs at public and SED-approved private schools, special-act school districts, BOCES, and at state-supported and state-operated schools for the deaf and blind.

It also includes reimbursement approvals for students who have been determined to be homeless or runaway youth and for education services provided to incarcerated youth.



Did You Know? STAC Utilizes Two Different Systems

EFRT

STAC Online System

Approvals submitted one at a time, processed immediately.

Used to access real time data.

Used by counties and school districts to verify claims.

Allows submission of data in a consistent, standard format for each placement type.

SED FTM

Secure File Transfer Manager

Approvals submitted in large batches, processed overnight.

Used to retrieve reports generated by the STAC/Medicaid Unit.

Used by most counties to submit preschool AVLs.

Provides flexibility to securely submit documentation containing PII in many formats.

Used to submit claims for reimbursement

STAC ACRONYMS AND COMMON TERMS

AVL Automated Voucher Listing

CPSE Committee on Preschool Special Education

□ FTE Full Time Equivalent

□ IEP Individualized Education Program

LEA Local Educational Agency

□ P-12 NYSED Special Education Office

□ SEIS Special Education Itinerant Teacher Services

STAC System to Track and Account for Children



Section 4410 Preschool Reimbursements

PRESCHOOL SERVICES:

- PRESCHOOL EVALUATIONS
- CENTER-BASED SERVICES
- SEIS SERVICES
- RELATED SERVICES
- TRANSPORTATION
- ADMINISTRATIVE COSTS:
 - CPSE ADMINISTRATIVE COSTS
 - COUNTY ADMINISTRATIVE COSTS



Basic Process – Preschool Services and Evaluations

CPSE evaluates, **Programs** are determines approved by **Approved Child** disability and **NYSED Office of Evaluation** or service needs **Special Education** Service Record on STAC System **County submits** Rate is added for STAC record. programs, contracts / pays **County verifies** services services delivered providers and paid to generate 59.5%

reimbursement

Electronic Record Access

There are two systems important to STAC reimbursement:

1. STAC Online (EFRT) System

Employee Form: https://www.oms.nysed.gov/stac/forms/stac_access_form.pdf

Consultant Form: https://www.oms.nysed.gov/stac/forms/stac_access_form_consultants.pdf

Review, add, amend or verify approvals (providers review only).

User IDs must be authorized by Municipality Representatives.

User IDs and passwords must not be shared.

STAC Unit can suspend rights when aware of violations.

County Super User can renew or suspend users directly on EFRT.

2. SED Secure File Transfer Manager (FTM)

Authorization Form: https://www.oms.nysed.gov/stac/forms/stac-603_form_authorization_ftp.pdf

Submit bulk files – format available on STAC website.

Download STAC-3 amendment reports, summary files, and other reports.

Register and access through SED FTM web client.

Or access through FTP client using SFTP protocol.



Protecting STAC Data and Personally Identifiable Information (PII)

Family Educational Rights and Privacy Act (FERPA), Health Insurance Portability and Accountability Act (HIPAA), NYS Personal Privacy Protection Law and other statutes all require that PII is kept secure and only shared on a "need to know" basis.

Exchanging student data with the STAC Unit:

- SED FTM is the most secure method for transmitting documentation with PII.
- Fax during business hours and advise recipient when will be sent.
- Emails with PII other than STAC ID need to be encrypted with password sent separately.
- Paper documents sent US Mail 1st class/priority, or other service with tracking (e.g., UPS, FedEx, DHL).
- Use the STAC Online (EFRT) System and FTP site log out when not active.
- When calling STAC Unit be prepared with your STAC Online User Code and password to confirm authorization to share data.



STAC-703 Form: Child Information Change Form

STAC-703	The University of THE STATE EDU				Rev. 02/202
	Child Informat	ion Ch	ange l	Form	
a child's name or oth STAC Unit will appl District forms must i	representatives of School I er identifying information by the change on EFRT. the signed by the district sup- ters must be signed by the n	is recorded perintende	on the Si	TAC Online or of pupil p	(EFRT) System. Th
Email OMSSTAC@	mpleted forms to SED Fi inysed.gov with the SED ipleted forms to emails.				inbasket".
CHILD IN Child's <u>Last</u> Name as liste	NFORMATION AS CURRENTLY DE d on EFRT	SPLAYED ON		ONLINE (EFRT) Name as listed	
Child's STAC ID	Date of Birth as listed on EF		r as listed on lale F	EFRT Race-lifemale	Ethnicity as listed on EFRT
Change Child's	s First Name to:				
Change Child's	s Middle Initial to:				
Change Child's	s Last Name to:				
Change Child's	s Date of Birth to:				,
Change Child's	s Gender to:		Male	Fem	ale
Change Child's	s Race-Ethnicity to:				
(NYC Only) C	hange Child's SIS ID to:				
Reason for Change:					
=	EFRT was entered incorre	-			
_	opted on://			Processed	•
	ies as a different gender			Date Pro	
Other:	Effective:	_//			
	ormation on this form is con appropriate legal documents		accurate, a	and that the i	nformation has been
					, ,
Superintendent Print Name	Municipality Representative/Director	of PPS/Regi	strar Signatur Title	0	Date
Email Address			Phone	(Area Code)	(Number)

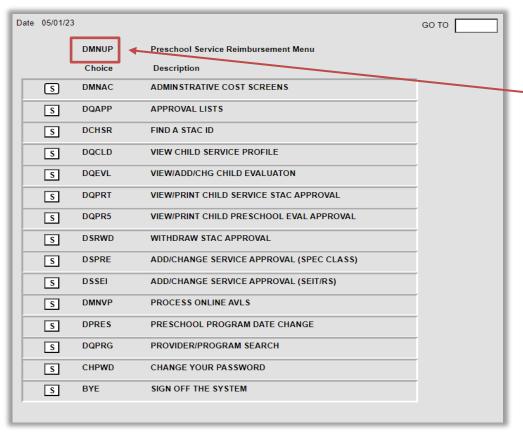
- Located on <u>STAC</u> website under Forms.
- Submit form to request changes to a child's name or other identifying information.
- Municipality Representative may sign form.
- After form is completed and signed:
 - Upload form to your county's SED File Transfer Manager (SED FTM) inbasket.
 - 2) Send Email notification to OMSSTAC@nysed.gov to request processing.
 - Email should include name of form, SED FTM location and filename.
 - <u>DO NOT</u> include personally identifiable information (PII) in email nor filename. STAC-ID is acceptable.
- <u>DO NOT</u> upload any additional supporting documentation (e.g. adoption paperwork or birth certificates).

STAC Online (EFRT) System – Resource Screens

Screen	Description
DCHSR	STAC Child Search
DQAPP	Approvals List (agency approvals by year, provider, and placement type)
DQEVL	STAC Child Evaluation Profile (list of DSEVL records by STAC-ID)
DQCLD	STAC Child Service Profile (list of DSPRE and DSSEI records by STAC-ID)
DQPR5	STAC Child Evaluation Print Search (list of STAC-5A reports by STAC-ID)
DQPRT	STAC Child Print Search (list of STAC-3 reports by STAC-ID)
DQPRG	Special Education Program Listing (approved programs by provider)
DREVL	STAC Evaluation AVL Information Page (Evaluation AVL Report)
DLEVL	4410 Evaluation Payment Ledger Screen (student specific ledger)
DRPRS	STAC AVL Information Screen (Programs & Services AVL Report)
DLPRS	Preschool Payment Ledger Screen (student specific ledger)
XTEND	EFRT Access Renewal/Suspension Screen (super user access only)

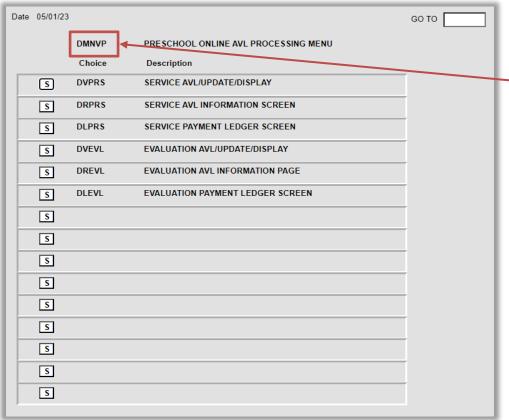


DMNUP - Preschool Reimbursement Menu



- This is the Home Menu for county EFRT users.
- The five-character code for the Home Menu is in the upper left-hand corner of the page.
- Enter "DMNUP" into "GO TO" box then press enter to get back to this menu.
- Select "S" or enter fivecharacter code into "GO TO" box to bring up a specific screen.

DMNVP - Preschool Online AVL Processing Menu



- This is the Menu that contains the screens associated with Preschool AVL processing.
- The five-character code for this menu is in the upper left-hand corner of the page.
- Select "S" on DMVUP Home Menu or enter "DMNVP" into "GO TO" box then press enter to bring up this menu.
- Select "S" or enter five-character code into "GO TO" box to open a specific screen.
- Both Online and FTP verification counties should be reviewing processed AVL info via "DR" screens as well as student specific ledger records via "DL" screens.

PRESCHOOL PROCESSING School District Role

- STAC approval forms are created by school districts based on the student's IEP.
- □ There are separate STAC forms for Preschool Services (STAC-1) and Preschool Evaluations (STAC-5).
- Paper STAC forms are completed and forwarded to the district's respective county.
- School district submits a signed STAC-3 to the county for all revisions to preschool approvals.



PRESCHOOL PROCESSING School District Role

The CPSE is required to report whether service provider also conducted the most recent evaluation.

- Applies to center-based class (special class and SCIS) and SEIS placements does not apply to related services.
- District includes answer on STAC-1 submitted to county.
- County submits to SED with reimbursement approval request.
- □ Answer of "Y" or "N" does not affect approval but must be included.

PRESCHOOL PROCESSING County Role

- Counties review and approve STAC forms submitted by School Districts.
- Annually, each county is responsible to receive a signature authorization form from each of their individual school districts. A reminder is sent out by STAC each April.
 - This authorization allows a county to electronically transmit preschool information to STAC and Medicaid Unit (either through the STAC Online (EFRT) System or via electronic file).
- Counties electronically sign and forward the approved STAC forms either directly on the STAC Online (EFRT) System or through the FTP Batch file processing.
 - STAC Unit reviews and processes certain approvals (assistive technology, nurse, and interpreter).
- Counties electronically process all preschool amendments submitted to them by the school district.

PRESCHOOL PROCESSING Provider Role

- □ Preschool SED-approved providers and evaluators (with a STAC online user code and password) can <u>VIEW</u> all classroom and SEIS STAC approvals associated with their agency.
- Preschool SED-approved providers and evaluators (with a STAC online user code and password) <u>cannot</u> ADD or AMEND any STAC approvals on the system.
- Related Service therapists cannot view approvals on the STAC Online (EFRT) System.
 - The county is listed as the provider for preschool related service approvals in EFRT.

PRESCHOOL PROCESSING Requests / Notices of Approvals

- STAC-5 Request for Approval (evaluations)
- STAC-5A Notice of Approval (evaluations)

- STAC-1 Request for Reimbursement Approval (education services and transportation)
- STAC-3 Notice of Approval (for STAC-1s)



Data Submission to STAC Unit

Online

- Evaluation approvals.
- Service approvals (special class, SCIS, SEIS, related services, and Transportation).
- AVL verification of evaluations and services for payment.

FTP

- Evaluation approvals.
- Service approvals (special class, SCIS, SEIS, related services, and Transportation).
- AVL verification of evaluations and services for payment.

Additional review and manual entry by STAC Unit

- Interpreter, RN, LPN.
- "Other" Evaluations, second psychological or social evaluation.
- Assistive Technology Device (entered in as "Other" related service).
- "Triple" Services".



PRESCHOOL PROCESSING STAC-5 Evaluations

- Referred for evaluation by Committee on Preschool Special Education (CPSE).
- STAC-5 form generated by school district, authorized by the CPSE Chairperson and sent to municipality for signature.
- Municipality authorizes STAC-5 and forwards info to STAC Unit either electronically (through the Online or FTP process) or hard copy (as necessary).
- STAC-5A evaluation approval is available for printing from the STAC Online System using Screen DQPR5.

NOTE: A separate rate is not established for Functional Behavioral Assessment (FBA) and Applied Behavioral Analysis (ABA). Since the specific assessment techniques and components vary based on the identification of an individual student's challenging behavior, the CPSE must determine which evaluation components will be used and enter each component separately on the STAC-5 form.

Preschool Evaluation Rates

- □ Preschool Evaluation Rates are developed by the New York State Department of Health.
- □ Issued through the NYS Education Department's Rate Setting Unit.
- Applicable rate is determined by the county of residence of the student, not by the county of the approved evaluator.



STAC-5 Form – Request for Commissioner's Approval of Reimbursement for Evaluations

Request for C	commissioner's Ap		sement for the		
STAC-ID		For bilingual evaluations	indicate on line provided.		
STUD	ENT INFORMATION	EVALUAT	ION COMPONENT	MONTH / YEAR	CHECK IF BILINGUAL
Last Name	First Name M	iddle Initial Audiological	AUD	/	
		Counseling	CSL		
Date of Birth (mm/dd/yy) Studen	t Identification Number Geno (if applicable)	ler Education	EDU		
, , , Male		Male Functional Vision	FUV		
	Brown Frances	Music	MUS		
DISABILITY	RACIAL ETHNIC CATEGO STUDENT	Neurological	NEU		
The child named above is:	☐ Hispanic or Latino	Neuropsychological	NPY		
PD - Preschool Student	Not of Hispanic Origin:	Occupational Therapy	ост		
With a Disability	 American Indian or 	Optometric (visual)	OPT		
ND - Non-Disabled	Alaskan Native Asian or Pacific Islander	Orthopedic	ORT		
	■ Black or African America ■ Native Hawaiian or other	i II '	PHY	()	
	Pacific Islander White	Physical Therapy	PHT		
	☐ Two or more Races	Psychiatric Psychological	PYC PSY	(
	(see explanation on second p	Social	SOC	j,	
School District with CPSE Responsib	oility CPSE District SED (BEI		SPT	,	
·		Teacher of Visually Imp		,	
County of Child's Current Location (v	where child resides)	Other:	171	,	
County of County Current Education (v	incre dina resides,		smittal of evaluation documentati	ion or summany	
County at time of Placement in Foste		report for monolingual		o o. summary	\$
County at time of Placement in Foste	r Care	Name	PERSON COMPLETIN	NG THIS FORM	
Approved Evaluator		Name		ue	
		Phone	Er	mail	
	Certify that the preschool child herein a above and in accordance with Section 4	410 of the//	TY: The municipality of the STAC-5 Request for Cations for the above named child		has received on oval of Reimbursement for the



Processing "OTHER" Evaluations

- Many "OTHER" evaluations are typically processed as part of a recognized evaluation component listed in our "Glossary of Terms" (see list on following slide).
- When "OTHER" evaluations are reimbursed as a stand-alone evaluation, these specialty evaluations require a special explanation from the CPSE attached to the STAC-5 (identify type on form).
- All "OTHER" evaluations are reviewed and processed manually by the STAC Unit.
- Counseling, Functional Vision, Music Therapy, and an evaluation by a teacher of the blind/visually impaired are no longer considered "Other" Evaluations.

Recognized "OTHER" Evaluation Components

Evaluation for:

Adaptive PE

Art Therapy/Play Therapy

Augmented Communication

Cleft Palate

Physical Coordination & Balance

Developmental Assessment

Ear/Nose/Throat

Feeding

Oral Motor

Orientation and Mobility

Eval by Teacher of the Deaf

May be part of:

Physical/Medical or PT Eval

Psychological Eval

Speech/Language Eval

Physical/Medical Eval

Physical/Medical or PT Eval

Physical/Medical Eval

Physical/Medical Eval

Physical/Medical or Speech/Language

Physical/Medical Eval

Psychiatric, Optometric, OT, PT or

Audiological Eval

Education Eval

PRESCHOOL PROCESSING Placement for Educational Services – STAC-1

- □ Placement by Committee on Preschool Special Education (CPSE).
- □ STAC-1 generated by school district, authorized by Board of Education Representative, and sent to municipality for signature.
- Municipality authorizes STAC-1 and forwards info to STAC Unit either electronically (through the Online or FTP process) or hard copy (as necessary).
- □ STAC-3 report generated for all approved STAC-1's; approval notices sent to School District, County and SED-approved provider.

NOTE: Child specific STAC-3 is available to view and print via DQPRT screen, otherwise a summary report is made available inside the SED FTM outbasket once every 4 weeks. The filename begins with EFHMAIL.

PRESCHOOL PROCESSING Placement for Educational Services – STAC-1

- □ Related Services must list begin/end dates, type, number of sessions, and rate (county rate is a cap).
- Coordination as a related service requires two other related services approved, not allowed if concurrent with SEIS approval (included in SEIS rate).
- SEIS must list begin/end dates and number of sessions and rate.
- Center based program begin/end dates generate an FTE.



Preschool STAC-1 Form - Request for Commissioner's Approval of Reimbursement for Services for Students with Disabilities Pursuant to Section 4410 of the Education Law

(Updated June 2020)	Albany, New Y	TON DEPARTMENT York 12234	Public School District that Special Education Response		rescribol		
Request for Commissioner's Approval of Pursuant to S	f Reimbursement for Services for Studi Section 4410 of the Education Law	dents with Disabilities				<u> </u>	шшш
			County of Child's Curren	t Location (where child	l resides)		
STAC-ID							
			County at Time of Place residential facility license		in Temporary Housing or i	n a	
			residential facility liberise	ed or operated by arroa	rer otate Agency		- I
STUI	DENT INFORMATION		Service Provider for Spe	cial Class SCIS or SE	IT		
Last Name	First Name	Middle Initial		.0101 01035, 0010 01 02		ппп	
			b. Is this the same prov	ider that conducted the	most recent evaluation for	r this student?	Yes No
Date of Birth (mm/dd/yy) Stude	ent Identification Number (if applicable)	Gender	Name of Program	ider triat corrudated trie	most recent evaluation to	tills student: L	_ 165 NO
	II III III III II Fe	emale					-
· · · · · · · · · · · · · · · · · · ·	RACIAL ETHNIC CA	ATECODY OF	RELATED SERV	ICE OR SEIT	TYPE OF	HRS PE	R DAYS PE
PLACEMENT TYPE	STUDEN		PROVIE		RELATED SERVICE		
Approved Program (DSPRE)	■ Hispanic or Latino					-	
Special Class	Not of Hispanic Origin:						
 Special Class Integrated Setting (SCIS) 	American Indian or A	Naskan Native				-	
Related Services and/or SEIT (DS	Asian or Pacific Islan						
Related Services and/or SEIT (DS	Black of African Ame					<u> </u>	
	■ Native Hawaiian or Of	ther Pacific Islander				-	
 Special Education 							
Itinerant Teacher and/	White						
	☐ White ☐ Two or More Races					•	
Itinerant Teacher and/ or SEIT plus Related	Two or More Races TO HRS. PER		OR RELATED SERVICE				ORTATION
Itinerant Teacher and/ or SEIT plus Related Services	Two or More Races TO HRS. PER					TRANSPO	DRTATION
itinerant Teacher and/ or SEIT plus Related Services SERVICE FROM Mo./Day/Year	Two or More Races TO HRS. PER					TRANSPO	
Itinerant Teacher and/ or SEIT plus Related Services SERVICE FROM Mo/Day/Yea Education or SEIT //	Two or More Races TO HRS. PER	WEEK IN				TRANSPO	insportation / /
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ltinerant Teacher and/ or SEIT plus Related Services SERVICE INFORMATION Education or SEIT Related Service 1 Related Service 2	Two or More Races TO HRS. PER	WEEK IN				TRANSPO	insportation / /
Itinerant Teacher and/ or SEIT plus Related Services SERVICE FROM INFORMATION Mo/Day/Yea Education or SEIT // Related Service 1 // Related Service 2 // Related Service 3 //	Two or More Races TO HRS. PER	WEEK IN				TRANSPO	insportation / /
Itinerant Teacher and/ or SEIT plus Related Services SERVICE INFORMATION Mo/Day/Yea Education or SEIT Related Service 1 Related Service 2 Related Service 3 Related Service 4	Two or More Races TO HRS. PER DAY / /	WEEK IN	DIVIDUAL GROUP	HOUR SESSOINS	HOUR SESSION	TRANSPO Dates of Tra	Fransportation

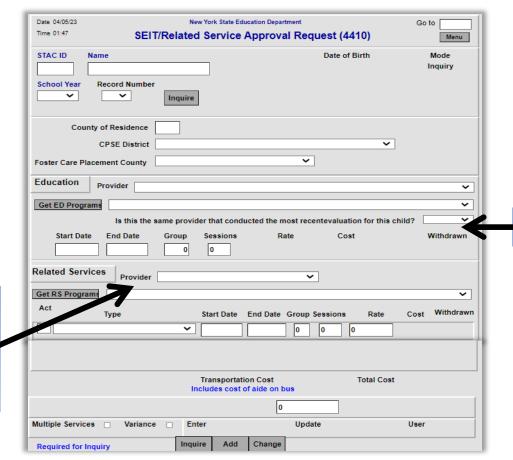


DSPRE Screen – Center Based Programs

Date 04/05/23	New '	York State Education Department		Go to
Time 01:44	CPSE Presch	nool Service Request (441	0)	Menu
STAC ID	Name	Date of	Birth	Mode
		7		Inquiry
School Year	Record Number			
~	✓ Inquire			
Cour	nty of Residence			
	CPSE District		~	
Foster Care Pl	acement County	~		
Education	Provider			~
	Is this the same provi	ider that conducted the most recent	evaluation for this c	hild?
Get ED Progr	ams			~
			Educ	
Start Date	End Date Hours Days	Aide Percentage Educ Rate	Aid Rate	Total Rate
			0	0
		Total Rate	X FTE =	Total Cost
			X FTE = 0	Total Cost
Transportation	Cost 0 Include	s cost of aide on bus	Total Cost	
Multiple Service	es 🗆 Variance 🗆 En	ter Update	U	ser
Required for	Inquiry	uire Add Change Reapp		



DSSEI Screen – SEIS and Related Services



For STAC approval, County is provider for all Related Services



SEIS Services

Multiple Service STAC's

- Dual services are a combination of two concurrent placements. Examples include:
 - Two half-time center-based placements (possibly one special class combined with a second integrated class).
 - Half-time center-based placement and SEIS services.
 - Half-time center-based placement and an additional related services not included as part of the center-based placement.
 - Note: Both placements not to exceed 5 hours/day.
- A STAC-1 needs to be completed for each placement. Each STAC-1 needs to be clearly marked as a "Multiple Service."

Requesting 1:1 Aides

- With the addition of the Aide Percentage field in EFRT, 1:1 Aide forms are no longer required for full time aides nor partial 1:1 aides (including shared 1:1 aides).
- □ Users may enter an aide percentage from 1 to 100 percent (whole numbers only).
- Leave Aide Percentage field <u>blank</u>, if a student does not require an aide. Zero will not be accepted and will result in an error message.
- □ 1:1 aide as a related service may be entered into EFRT via the DSSEI screen without special processing by STAC.



STAC-812 Form - Request for Reimbursement for Student-Specific Nurses and Interpreters **For Preschool Use Only**

	The University of t HE STATE EDUC	ne State of ATION DEP	ARTMENT		Rev. 0	9/2/02
	equest for Re					
Studer	•					
	For Presch	ool Use	Only			
		> Educa	tion Aides	EFRT servi	ice approval sc	reen)
ooth completed forms t	o SED File Transf	er Manager	(FTM) "inbasket".		orms to email	s .
STUDE	NT, COUNTY, AND S					
		Date of Birt	(mm/dd/yy):			
Name:						
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			Days 1:1 Requested: Days / Week			udents
	ed End: Hours 1:1	Requested:	Days 1:1 Requested:	Shared by	multiple students	
Requested Start Request						udents
to	He	ours / Day	Days / Week	No No		udents
Requested Start Requests to			Days 1:1 Requested: Days / Week	Shared by		udents
	•					
	PRESCHOOL EDU					
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		Program C	ode:			1-1
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	Days/Week		Hours/Day			
	signed Preschool STAG both completed forms to prived.gov with the SE STUDE Name: ict with CPSE Responsibility. AIDESS, Requested Start Re	signed Preschool STAC-1 form should be soon completed forms to SED File Transf knysed.gov with the SED FTM location at STUDENT, COUNTY, AND SI STUDENT, COUNTY, COUNTY, AND SI STUDENT, COUNTY, COUNTY, AND SI STUDENT, COUNTY, COUNTY	**For Preschool Use 0 Do NOT sul	AIDESNURSESINTERPRETERS DURING EDUCATION HOURS Requested Start Requested End: Hours 1:1 Requested: Days 1:1 Requested: boys 1:1 Requested: Hours / Days / Week Requested Start Requested End: Hours 1:1 Requested: Days 1:1 Requested: Boys 1:1 Reques	**For Preschool Use Only** Do NOT submit this form for: > Education Aides (Enter aide percentage on EFRT service) (E	**For Preschool Use Only** Do NOT submit this form for: > Education Aides (Enter aide percentage on EFRT service approval so (Enter aide aide percentage on EFRT service approval so (Enter aide aide aide (Enter aide aide aide aide aide aide aide aide

- □ To be used for RN/LPN/Interpreters in a Preschool Special Class (or SCIS) setting.
- Costs are calculated based on enrollment through the DSPRE screen in EFRT.
- **EXCEPTION:** RN/LPN/Interpreters may be entered in as a related service under specific conditions. Each of the following must be true:
 - 1. Student's IEP lists the use of an RN/LPN/Interpreter.
 - 2. Student is <u>NOT</u> enrolled in a Special Class (or SCIS) program.
 - Student is receiving SEIS and/or related services.

Age Eligibility for 4410 Preschool

DATE OF BIRTH *	DATE FIRST ELIGIBLE FOR PRESCHOOL EVALUATION	DATE FIRST ELIGIBLE FOR PRESCHOOL SERVICES	DATE LAST ELIGIBLE FOR PRESCHOOL SERVICES	SCHOOL AGE ELIGIBLE
Jan-June 2017	September 2019	January 2, 2020	Summer 2022	September 2022
Jul - Dec 2017	March 2020	July 1, 2020	Summer 2022	September 2022
Jan-June 2018	September 2020	January 2, 2021	Summer 2023	September 2023
Jul - Dec 2018	March 2021	July 1, 2021	Summer 2023	September 2023
Jan-June 2019	September 2021	January 2, 2022	Summer 2024	September 2024
Jul - Dec 2019	March 2022	July 1, 2022	Summer 2024	September 2024
Jan-June 2020	September 2022	January 2, 2023	Summer 2025	September 2025
Jul - Dec 2020	March 2023	July 1, 2023	Summer 2025	September 2025
Jan-June 2021	September 2023	January 2, 2024	Summer 2026	September 2026
Jul - Dec 2021	March 2024	July 1, 2024	Summer 2026	September 2026
Jan-June 2022	September 2024	January 2, 2025	Summer 2027	September 2027
Jul - Dec 2022	March 2025	July 1, 2025	Summer 2027	September 2027

^{*} DOB's of December 2-31 are reviewed on an individual basis (see NYS Education Law § 4410. i. below)

NYS Education Law

§ 441.0. i. "Preschool child" means a child with a disability as defined in section forty-four hundred one of this article who is first eligible for services as defined in paragraph fo of this subdivision but who will not have become five years of age on or before December first of the school year, or a later date if a board establishes such later date for eligibility to attend school. A child shall be deemed a preschool child through the month of August of the school year in which the child first becomes eligible to attend school pursuant to section thirty-two hundred two of this chapter.

- This chart is an extension of the information contained in the Policy memo on Evaluation of 3 and 4 year olds.
- The memo is posted on the STAC website under Pre-school / Preschool Policy.
- STACs submitted for evaluations of children entering kindergarten in September must indicate evaluation dates prior to August in order to be reimbursed via the STAC process.



PRESCHOOL PROCESSING Rate Sources

- Evaluation (DOH)
- □ Related Service (County)
- Special Education Itinerant Service (RSU)
- Center Based Program (RSU)
- Aide (DOB)
 - Nurse
 - 1:1 Aide
 - Interpreter
- □ Transportation (DOB)



STAC-710 Form – County List of Approved Rates for Preschool Related Services

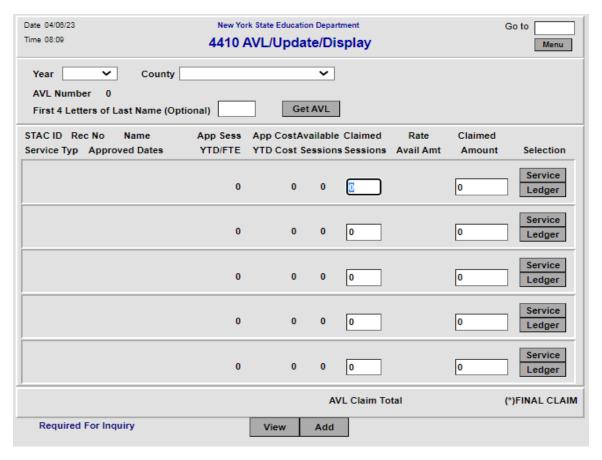
STAC-710		The University of the State of New York THE STATE EDUCATION DEPARTMENT Rev. 04/201				
COUNTY LIST OF APPROVED RATES FOR PRESCHOOL RELATED SERVICES						
County Name:				School Year:		
Service	Maximum Individual Rate Per Half Hour	Maximum Group Rate Per Half Hour	Maximum Coordinator Rate Per Half Hour	List of Approved 4410 Providers contracted with during the school year listed above.		
AIDE - 1:1 RELATED SERVICES						
ASSISTIVE TECHNOLOGY SERVICES						
AUDIOLOGY						
COORDINATION						
COUNSELING SERVICES						
SCHOOL HEALTH SERVICES/NURSE						
INTERPRETER						
MUSIC THERAPY						
ORIENTATION AND MOBILITY						
OCCUPATIONAL THERAPY						
OCCUPATIONAL THERAPY ASSISTANT						
PLAY THERAPY						
PARENT COUNSELING AND TRAINING						
PSYCHOLOGICAL SERVICES						
PHYSICAL THERAPY						
PHYSICAL THERAPY ASSISTANT						
SCHOOL SOCIAL WORK						
SPEECH THERAPY						
TEACHER OF HEARING IMPAIRED						
TEACHER OF VISUALLY IMPAIRED						



PRESCHOOL PROCESSING Claiming

- Counties are responsible for claiming costs associated with preschool services provided.
- Automated Voucher Listings (AVL) are created by the STAC Unit for counties to use for claiming.
 - Center based programs: verify enrollment (FTE) and costs paid.
 - SEIS and Related Services: verify sessions and costs paid.
 - Transportation: verify actual cost.
 - Claim via FTP file or directly on the STAC Online (EFRT) System, using the DVPRS screen.
- Once preschool services have been delivered and paid; a county may claim costs.

DVPRS – 4410 Preschool Service Verification





PRESCHOOL PROCESSING Statute of Limitations

- All preschool service and evaluation approvals have a three-year statute of limitations.
- □ 2019-20 school year will close out June 30, 2023.
- □ Final AVLs for 2019-20 school year will be prepared in mid-May 2023 and MUST be submitted to STAC for processing by close of business June 30, 2023.
- Change in tuition rate supersedes the Statute of Limitations.
 - Reductions recovered without county action.
 - County must claim additional amounts made available by close of school year following the school year in which the rate change was applied.

PRESCHOOL PROCESSING Payments

- □ STAC Unit processes the returned AVL (FTP file or Online version) completed by the county.
- State reimbursement rate is 59.5%.
- □ STAC Unit collects proper signature through separate online system.
 - Individual authorized to sign for municipality should be a different person than who processes the AVL.
 - Registration and use instructions are posted on STAC website under Preschool AVL page:
 - <u>Electronic Signature for Preschool AVL memo</u>
 - Electronic Signatures should be submitted as follows:
 - FTP Verifications: after receiving email notification to proceed.
 - Online Verifications: immediately after claim submission.

PRESCHOOL PROCESSING AVL Schedule

THE STATE EDUCATION DEPARTMENT / THE UNIVERSITY OF THE STATE OF NEW YORK System to Track and Account for Children (STAC) and Medicaid Unit AVL Schedule – Section 4410 – Preschool April 2023 – March 2024

F												
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Evaluations												
2019-20		#12										
		Final										
2020-21				#09				#10			#11	
2021-22		#05				#06			#07			#08
2022-23	#01			#02			#03				#04	
Services												
2019-20		#12 Final			*				*			
2020-21			#09				#10			#11		
2021-22	#05			#06				#07			#08	
2022-23			#02			#03				#04		
2023-24												#01
Back years					*				*			
Administration												
2021-22 County	Child Count											
2022-23 County											Report Costs	
2021-22 CPSE			Costs Closed		ACL/ AVL							

^{*} Rate Change Only

- Schedule lists claiming opportunities by school year and type, as well as important timeframes for the administrative cost processes.
- "Back years" notates when tuition rate change AVLs will be made available for school years that are beyond the statute of limitations.
- Posted on STAC website under Pre-school / Preschool AVL.

AVL Adjustments

- 4408 Chargeback
 - 10% of verified July/August Special Education service costs for children ages 5-21 (multiple years initial/adjustment chargeback).
 - Deducted from AVL #01 and additional current year AVLs, until recovered.

Medicaid Payments

- Non-federal share of Preschool Supportive Health Services Program (PSHSP) services and evaluations reimbursement is recouped from 4410 funds.
- Recent payments adjusted on each current year AVL.



AVL Adjustments, continued

- Transportation Cap
 - Actual cost is reported, but total reimbursed cost can not exceed (trips x rate).
 - Trips generated by verified services.
- Foster Care
 - Local 40.5% share of costs charged back to county of origin, on the following AVL after servicing county is reimbursed at 100%.
- State Operated Schools
 - Rome Preschool costs paid by NYS; 40.5% local share charged back to county of origin.



ANNUAL PRESCHOOL PROCESSES County Administrative Costs

Each County reports Administrative Costs associated with running its Preschool program in March.

- Report containing unduplicated count of Preschoolers Generating Administrative Costs is generated in April.
- Counties receive lesser of reported costs or \$75 per child.

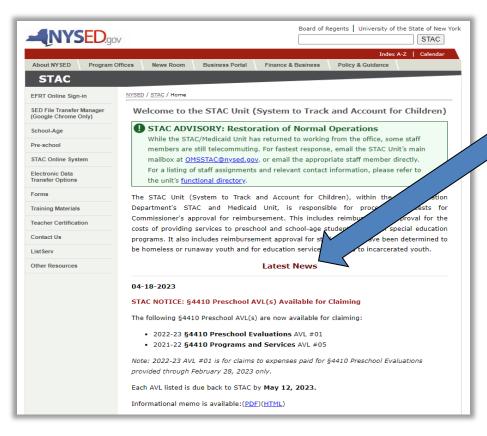


ANNUAL PRESCHOOL PROCESSES CPSE Administrative Costs

- □ State Aid provides CPSE costs submitted by districts through the State Aid Management System (SAMS) Supplemental Schedules 31-34.
- Same report containing unduplicated count of Preschoolers Generating Administrative Costs generated for County Administrative Costs is also used for CPSE Administrative Cost reimbursement calculation.
- Per pupil cost calculated and approved by SED.
- □ Administrative Cost Listing (ACL) prepared for districts to submit to counties to reimburse their CPSE costs.
- □ County submits matching AVL to STAC Unit for CPSE costs they have <u>paid</u> to a district (59.5% aid rate).



STAC Unit - Preschool News



- STAC Unit routinely posts updates to the STAC website. Postings are listed on the main page under the "Latest News" section. Each post is dated with the most recent post at the top and an archive is available.
- In addition, each preschool posting is forwarded to recipients of the STACPRE LISTSERV distribution list.
- Every individual that works on Preschool for a municipality should subscribe to the STACPRE LISTSERV.

Subscribe to the STAC LISTSERV

Register to Receive Information from the STAC and Medicaid Unit

You can receive notification by electronic mail of the latest memoranda and other updates by subscribing to one or more of our LISTSERVs:

- PRESCHOOL (ages 3-5)
 https://www.oms.nysed.gov/stac/listserv/listserv/preschool registration.html
- SCHOOL-AGE (ages 5-21)
 https://www.oms.nysed.gov/stac/listserv/
 listserv schoolage registration.html
- PROVIDER (SED-Approved Education Providers)
 https://www.oms.nysed.gov/stac/listserv/ listserv_provider_registration.html
- MEDICAID IN EDUCATION (P/SSHSP) https://www.oms.nysed.gov/medicaid/listserv_registration.html

To Subscribe to the Preschool ListServ:

- To begin a subscription, please send an e-mail message to LISTSERV@LISTSERV.NYSED.GOV
- The body of the message must read:
 SUBSCRIBE STACPRE firstname lastname
- You will receive a welcome message when you subscribe. Please save this message for future reference, especially if this is the first time you are subscribing to an electronic mailing list.
- Many Spam Filters and Virus software may block messages from LISTSERVs. Once you have subscribed, please notify your technical support staff that these notices with attachments will be coming from <u>STACPRE@LISTSERV.NYSED.GOV</u>.

To Unsubscribe:

- If at any time you want to stop receiving announcements, you may be removed from the list by sending the following command to <u>LISTSERV@LISTSERV.NYSED.GOV</u>
- The body of the message must read: SIGNOFF STACPRE GLOBAL

STACPRE Listserv: Preschool AVL Available for Claiming

04-18-2023

STAC NOTICE: §4410 Preschool AVL(s) Available for Claiming

The following §4410 Preschool AVL(s) are now available for claiming:

- 2022-23 §4410 Preschool Evaluations AVL #01
- 2021-22 §4410 Programs and Services AVL #05

Note: 2022-23 AVL #01 is for claims to expenses paid for §4410 Preschool Evaluations provided through February 28, 2023 only.

Each AVL listed is due back to STAC by May 12, 2023.

Informational memo is available: (PDF)(HTML)

For Online Verification:

 Instructions to access a printable version of each AVL via SED File Transfer Manager (FTM), as well as how to submit a claim online, are available under "Pre-School" through the following link: <u>Instructional Guides for help in entering and amending approvals and navigating throughout EFRT's online screens.</u>

For FTP Verification:

- Instructions to access a printable version of each AVL via SED File Transfer Manager (FTM) is available under "Pre-School" through the following link: <u>Instructional Guides</u> for help in entering and amending approvals and navigating throughout EFRT's online screens.
- . The following text files are available in your county's SED FTM outbasket*:

§4410 Preschool Evaluations AVL 2223 #01 CCCC_2223_EVAL-AVL_20230413.txt

§4410 Programs and Services AVL 2122 #05 CCCC_2122_AVL_20230413.txt

*Note - "CCCC" represents the first four letters of a county's name, except for New York City (NEWY) and Saint Lawrence (STLA).

More information on the SED File Transfer Manager (FTM) is available <u>here</u>. For questions on this notice, or the AVLs listed, please email OMSSTAC@nysed.gov.

This type of message advises:

- A list of each AVL available for claiming.
- Due date back to STAC.
- Information memo.
- Link to the Instructional Guides posted on the STAC webpage.
- (For FTP verification users only)
 - The name of AVL text file uploaded to a county's SED FTM outbasket.



STACPRE Listserv: Preschool Payments Posted to SED FTM

05-01-2023

STAC NOTICE: New Preschool Payment Reports Posted to SED File Transfer Manager (FTM)

The NYSED STAC and Medicaid Unit has placed 3 §4410 Preschool Payment Reports in county folders on the SED File Transfer Manager (FTM).

The <u>Preschool Payment Reports Released to the SED File Transfer Manager (FTM)</u> listing has been updated with these Payment Reports, dated <u>5/01/23</u>.

Counties will receive an Approved Payment Listing (APL) for each payment, however the other report types listed will only be available when applicable.

Payments will be distributed when funding becomes available.

More information on the SED File Transfer Manager (FTM) is available here. For questions on this notice, or the AVLs listed, please email OMSSTAC@nysed.gov.

This type of message advises:

- Number of Preschool Payment Reports posted to a county's SED FTM outbasket.
- Upload date(s)
- Includes a link to the list of Preschool Payment Reports Released to the SED FTM.
 - Also available under the Preschool AVL page of STAC website.

Preschool Payment Reports Released to SED FTM in Calendar Year 2023



- Calendar of Payment Reports is organized by JAN – DEC calendar year.
- Other calendar years are available at the bottom of the screen.
- Most recent reports are listed at the top of the list.
- Information may be used to locate specific reports inside a counties SED FTM outbasket

STACPRE Listserv: Preschool Payments Posted to SED FTM

STAC NOTICE: Preschool Payments

The Division of the Budget (DOB) has approved the following Preschool payment(s):

```
    2014/2015 AVL #21 §4410 Programs & Services

    2015/2016 AVL #20 §4410 Programs & Services

    2016/2017 AVL #18 §4410 Programs & Services

    2017/2018 AVL #16 §4410 Programs & Services

    2018/2019 AVL #14 §4410 Programs & Services

    2019/2020 AVL #10 §4410 Programs & Services

    2019/2020 AVL #11 §4410 Programs & Services

    2020/2021 AVL #07 §4410 Programs & Services

    2021/2022 AVL #04 §4410 Programs & Services

    2019/2020 AVL #10 §4410 Evaluations

    2020/2021 AVL #07 §4410 Evaluations

    2021/2022 AVL #03 §4410 Evaluations

    2019/2020 PAY #05 §4410 CPSE Administration

    2019/2020 PAY #06 §4410 CPSE Administration

    2020/2021 PAY #01 §4410 CPSE Administration

    2020/2021 PAY #02 §4410 CPSE Administration
```

For questions on this notice, please email OMSSTAC@nysed.gov.

This type of message advises:

- NYS Division of the Budget has approved funding for the AVLs listed.
- Payments are processed through another SED office.
- Generally, counties should begin to receive funds within 2-3 weeks of this notice.



Preschool Resources

STAC Unit

- Main Telephone Number | (518) 474-7116
- □ General Email Address | OMSSTAC@nysed.gov

All documentation containing personally identifiable information (PII) for students must be transmitted to the STAC and Medicaid Unit using the SED File Transfer Manager.

- Preschool Supervisor | Robert Wojtkiewicz
 - □ Robert.Wojtkiewicz@nysed.gov
- □ Preschool STAC & AVL Process | Nicholas Thayer
 - Nicholas.Thayer@nysed.gov

Office of Special Education – Preschool Policy Unit

- Main Telephone Number | (518) 473-6108
- □ Email Address | <u>SPECED@nysed.gov</u>



Preschool Resources

- ■Evaluation Policy Memo
 - □ https://www.oms.nysed.gov/stac/preschool/policy/eval3-4yr803.pdf
- ■SEIT / Related Service Policy Memo
 - https://www.oms.nysed.gov/stac/preschool/correspondence/SEIS_Data_Collection_ Guidance.pdf
- ■Transportation Policy Memo
 - → https://www.p12.nysed.gov/specialed/publications/preschooltrans-811.htm
- ■STAC Online System Request for Access Form
 - https://www.oms.nysed.gov/stac/forms/stac_access_form.pdf
 - https://www.oms.nysed.gov/stac/stac_online_system/stac_access_notice_consulta_nts.html
- ■SED File Transfer Manager Access Form
 - https://www.oms.nysed.gov/stac/forms/stac-603_form_authorization_ftp.pdf





Thank you for attending this training.

A downloadable version of this presentation is available on the STAC website:

https://www.oms.nysed.gov/stac/training_materials/