



SINGLE AUDIT REPORT EXEMPTION FORM (Part 1 of 2)

This form must be completed and submitted if your agency is not required to have an audit of Federal programs in accordance with OMB's Uniform Grant Guidance (2 CFR). If the form is not submitted upon request, your agency may be subject to withholding of funding for Federal programs.

Agency Information:

<i>Agency Name and Address</i>		<i>FEIN(s)</i>	<i>Fiscal Year End Date</i>
<i>Agency Representative</i>		<i>Title</i>	
<i>Telephone</i>	<i>Fax</i>	<i>Email</i>	

Certification:

For the fiscal year indicated above, the agency did not incur expenditures of \$750,000 or more for all Federal programs and is not required to have an audit of Federal programs in accordance with OMB's Uniform Grant Guidance.

<i>Agency Representative's Signature</i>	<i>Date</i>
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Independent Auditor Information:

<i>Firm Name and Address</i>		
<i>CPA Name</i>	<i>New York State License Number</i>	
<i>Telephone</i>	<i>Fax</i>	<i>Email</i>

The agency must fill in the information above and sign the certification. For School Districts and BOCES, the form should be uploaded in Survey 4 in the NYSED Application Business Portal. Charter Schools, not-for-profits, and other entities should e-mail the form to FSandSingleAudit@nysed.gov.



SINGLE AUDIT REPORT EXEMPTION FORM (Part 2 of 2)

If your agency expended between \$550,000 and \$750,000 for all Federal programs, please complete the following table for all Federal programs where expenditures were incurred:

Sample entry:

Federal Agency	Pass Through Entity (if applicable)	Pass Through Entity Identifying Number	Assistance Listings/CFDA #	Total Expenditures for Fiscal Year *
<i>United States Department of Education</i>	<i>New York State Education Department</i>	<i>0000-05-0000</i>	<i>84.010A</i>	<i>\$153,000</i>

Agency Name: _____

Federal Agency	Pass Through Entity (if applicable)	Pass Through Entity Identifying Number	Assistance Listings/CFDA #	Total Expenditures for Fiscal Year*

Total expenditures for all Federal awards _____

* Include the value of Federal awards expended in the form of non-cash assistance, the amount of insurance in effect during year, and loans or loan guarantees outstanding at year-end.