

AGENDA

- 10:00-10:30 Data Overview – Early Childhood Enrollment & Reimbursement Trends
- 10:30-12:00 Defining Preschool Special Education Costs
October 26th – How to define the costs that should be allocated as a preschool “Special Education Cost” within an inclusive State-Administered Pre-Kindergarten (Pre-K) program?
 - Single Entity Operated Program
 - Collaborative Agreement
October 27th – How to define the costs that should be allocated as a preschool “Special Education Cost” within an inclusive Head Start or Childcare programs?
 - Single Entity Operated Program
 - Collaborative Agreement
- 12:00-1:00 Lunch on your own
- 1:00-2:30 Potential Preschool Special Education Staffing Models
October 26th – For Discussion: Inclusion Staffing within State-Administered Pre-K

October 27th – For Discussion: Inclusion Staffing within Head Start or Childcare
- 2:30-2:45 Break
- 2:45-3:55 Continued - Potential Preschool Special Education Staffing Models
October 26th – For Discussion: Inclusion Staffing within State-Administered Pre-K

October 27th – For Discussion: Inclusion Staffing within Head Start or Childcare
- 3:55-4:00 Next Steps for November 2017 Meetings

Defining Preschool Special Education Costs

Group Discussion Questions

1. Should the methodology used to allocate costs between general education services vs. special education services differ among SCIS early childhood settings or stay the same?
2. How should special education costs be identified in the following early childhood settings:
 1. State Administered Pre-K
 2. Head Start
 3. Day Care
3. What standards or requirements are needed to ensure that SCIS programs operating under a collaborative agreement are funded for an appropriate share of special education services vs general education services costs?

For Discussion: Options for Inclusion

SCIS – Consultant Option

- Possible PSWD population: students with fewer management needs that require some special education support to make gains in an inclusive setting
- Possible Classroom Ratios:
 - The General Education Teacher present for the full instructional day and the Special Education Teacher present for a portion of the day to provide direct instruction and supervision/direction for class. Special Education Teaching Assistant(s), and consultation and whole-group support for the classroom team.
 - One Special Education Teaching Assistant
 - One or two General Education Teaching Assistant(s) depending on class size

Possibilities: for Discussion and Revision

12:1.5+2 (4:0.5+1)	Eligible for variance by approved application. No class will be approved to enroll more than 20 students.
13:1.5+2 (4:0.5+1)	
14:1.5+2 (4:0.5+1)	
15:1.5+2 (5:0.5+1)	
16:1.5+2 (5:0.5+1)	
17:1.5+2 (5:0.5+1)	
18:1.5+2 (6:0.5+1)	
19:1.5+3 (6:0.5+1)	
20:1.5+3 (6:0.5+1)	

- Possible Additional Classroom Push-In Staff to support skill development for all students
- Potential setting(s): Classrooms with a certified General Education Teacher and Teaching Assistant

SCIS – Standard Option

- Possible PSWD population: students with moderate levels of management needs that require full-day special education support to make gains in an inclusive setting
- Possible Classroom Ratios:
 - Special Education Teacher present for full instructional day
 - One Special Education Teaching Assistant
 - One or two General Education Teaching Assistant(s) depending on class size

Possibilities: for Discussion and Revision

12:1+2 (4:1+1)	Eligible for variance by approved application. No class will be approved to enroll more than 20 students.
13:1+2 (4:1+1)	
14:1+2 (4:1+1)	
15:1+2 (5:1+1)	
16:1+2 (5:1+1)	
17:1+2 (5:1+1)	
18:1+2 (6:1+1)	
19:1+3 (6:1+1)	
20:1+3 (6:1+1)	

- Possible Additional Classroom Staff to support skill development for all students
- Potential Setting(s): Any Preschool classroom setting

SCIS – Intensive Option

- Possible PSWD population: students with IEPs documenting need for a high level of behavioral, sensory, and/or management supports that can be provided in an inclusive setting of a smaller group size and/or intensified staffing, beyond the need for a full-day special education teacher
- Possible Classroom Ratios:
 - Special Education Teacher present for full instructional day
 - Two Special Education Teaching Assistants
 - One General Education Teaching Assistant

Possibilities: for Discussion and Revision

12:1+3 (4:1+2)	Eligible for variance by approved application. No SCIS-Intensive class will be approved to enroll more than 16 students.
13:1+3 (4:1+2)	
14:1+3 (4:1+2)	
15:1+3 (5:1+2)	
16:1+3 (5:1+2)	

- Possible Additional Classroom Push-In Staff to support skill development for all students
- Potential Setting(s): Any Preschool classroom setting

QUESTIONS FOR STAKEHOLDER FEEDBACK

The New York State Education Department Offices of Special Education, Early Learning, State Aide, along with the Rate Setting Unit are conducting a comprehensive review of applicable laws, rules, and regulations to identify how the existing Special Class in an Integrated Setting (SCIS) program requirements could be amended to better serve preschool children in New York State. This framework will serve as a basis to establish a reimbursement recommendation aligned with desired program objectives and expectations. Therefore, rather than being limited by the current program requirements, it is important that the questions for discussion be considered from the perspective of defining quality standards that may be successfully implemented by preschool programs to increase opportunities for inclusion of all students.

In preparation for your participation in the October 26-27, 2017 Stakeholder Meetings, please review the following chart and questions. Participants of the Stakeholder Meetings will be asked to give their considered feedback regarding Items 1-5 below for each of the three inclusion options presented.

Program Expectations:

- FULL DAY: 5-hour instructional day including \leq 30 minute instructional lunch (approved staffing ratios must be maintained during instructional lunch periods), or else 5-hour instructional day with an additional non-instructional lunch period.
- HALF DAY: 2.5-hour instructional day may include \leq 15 minutes for instructional lunch (approved staffing ratios must be maintained during instructional lunch periods), or else 2.5-hour instructional day *with an additional* non-instructional lunch period.
- Staff full-time 1 FTE should be based on a standard work week of 37.5 hours (7.5 hours per day).
- 10-month program operating 180 days, 2-month program operating 30 days, 12-month program operating 210 days.

Direct Care Staff Discussion:

1. Review possible classroom ratios described above and make recommendations.
 - What number of instructional staff [certified Teacher(s) and Teaching Assistant(s)] is needed in each classroom under the various inclusion models?
 - Review Position Title Codes applicable to Teachers and Teaching Assistants and recommend any changes to the definitions/functions. Position Title Codes are attached to this document.
 - Identify the number of instructional staff and associated FTE for each position.
 - How should time for Teacher and Teaching Assistant substitutes be factored into the staffing model?
 - How should time for attendance at IEP meetings be factored into the staffing model?
 - What are the expectations for instructional staff's indirect time (2.5 hours per day for a full-time FTE)?
 - Identify how the cost for each identified position be assigned to the following:
 - Special Education Cost
 - General Education Cost
 - Shared Special Education and General Education Cost (identify sharing ratio)

2. How many sessions would be reasonable to expect a related service provider to conduct within the instructional day if they are a full-time employee? Must discuss standards for the following professionals: Psychologist (licensed), Psychologist (master's level/behavior specialist), Social Worker (licensed LMSW or LCSW), Social Worker (master's level MSW), therapist speech (SLP), Teacher Speech Certified (TSHH), Therapist Occupational, Therapist Physical, Therapy Assistant/Activity Assistant, Nurse:
 - Identify the number of IEP-mandated units (up to a maximum of 10 units per day)
 - Identify the time needed for make-up sessions
 - Identify time, if applicable, needed to oversee or supervise assistants
 - What are the expectations for related service professional's indirect time (2.5 hours per day for a full-time FTE)?
 - How do programs determine their staffing needs to allow for increases in related service sessions throughout the school year?

3. What other direct care positions should be included in the classroom model to provide whole class instruction and/or supports? Some possible Job Titles to discuss: Therapist Speech (SLP), Teacher Speech Certified (TSHH/TSSLD), Therapist Occupational, Therapist Physical, Psychologist (master's level/behavior specialist), Social Worker (licensed LMSW or LCSW), Social Worker (master's level MSW), Behavior Support Specialist (master's level) and Behavior Support Staff (less than master's level).
 - Review Position Title Codes identified by your group and recommend any changes to the definitions/functions. Position Title Codes are attached to this document.
 - Identify the length of time per day or per week dedicated to whole-class instruction/support for each Position Title Code.
 - Determine how staff time may be structured during the instructional day to allow identified Position Title Codes to provide IEP-mandated services and then also perform whole class instruction/support.

4. What direct care positions should be included in the funding model for supervision and/or coordination (i.e. supervising teacher, IEP coordinator, clinical coordinator)?
 - Review Position Title Codes identified by your group and recommend any changes to the definitions/functions. Position Title Codes are attached to this document.
 - Determine the level of staffing needed for each identified Position Title Code.

5. How should direct care positions that may be needed to fulfill specific IEP mandated services, such as assistive technology, orientation and mobility, visual impairment, nursing, bilingual support, music therapy and/or 1:1 aide be treated and funded under a SCIS staffing model?

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Select the position title and code that reflects functions performed by the individual(s) and enter the appropriate title and code number on Schedule CFR-4 and, if applicable, Schedule CFR-4A.

Note: Certain position titles are unique to individual New York State agencies. Be certain that the title used is acceptable for the New York State Agency that provides your funding. OMH service providers should note that certain position title codes are only acceptable for certain types of OMH programs.

- **Agency administration staff must be assigned position title codes from 600 through 699.**
- **Local Governmental Unit (LGU Program Code 0890) staff must be assigned position title codes from 700 through 799.**
- **Program administration staff must be assigned position title codes from 500 through 599.**
- **Program/site staff must be assigned position title codes from 100 through 499.**

Below is an alphabetic listing of position titles to assist you in choosing appropriate titles. Following the alphabetic list is a numeric list of position title codes and definitions.

Position Title	Position Title Code
Accountant (Agency Administration)	606
Accountant (Program Administration)	506
Accountant/Bookkeeper (LGU Administration)	703
Administrative Assistant	612
Assistant Executive Director	602
Assistant Mental Hygiene Director	702
Assistant Principal (SED Only)	515
Assistant Program or Assistant Site Director	502
Behavior Intervention Specialist 1	340
Behavior Intervention Specialist 2	341
Behavioral Support Staff (SED Only) – replaces Crisis Intervention Worker	243
Broker – Start-Up and Support	357
Case Manager (Does not apply to SED)	301
Clinical Coordinator (Does not apply to OPWDD)	342
Community Relations	610
Comptroller/Controller	603
Computer/Data/Statistical Specialist	609
Coordinator/Education Department Head (SED Only)	516
Counseling Aide/Assistant-Alcoholism and Substance Abuse (Does not apply to SED)	268
Counselor – Alcoholism and Substance Abuse	267
Counselor – Rehabilitation (Does not apply to SED)	305
Counselor (OMH CR Only)	203
Crisis Prevention Specialist (OMH RTF Only)	354
CSE/CPSE Chairperson (SED Only)	511
Curriculum Coordinator (SED Only)	237
Developmental Disabilities Specialist/Habilitation Specialist – QIDP - Clinical (OPWDD Only)	309
Developmental Disabilities Specialist – QIDP - Direct Care (OPWDD Only)	207
Dietician/Nutritionist (OMH, OPWDD & OASAS Only)	336
Director of Division	604
Early Recognition Specialist (ERS) (OMH Only)	356
Emergency Medical Technician (Does not apply to SED)	312
Executive Director/Chief Executive Officer	601
Food Service Worker (OASAS & OPWDD use 336 for Dietician/Nutritionist)	101
Guidance Counselor (SED Only)	236
Housekeeping and Maintenance	102
Identification/Information Referral (OASAS Only)	346
IEP Coordinator (SED Only)	238

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Position Title	Position Title Code
Intake/Screening (Does not apply to SED)	343
Intensive Case Manager (OMH Only)	313
Intensive Case Manager/Coordinator (OMH Only)	314
Job Coach/Employment Specialist (OMH and OPWDD Only) (SED - See Codes 255 and 257)	254
Licensed Mental Health Counselor (OASAS and OMH Only)	327
Licensed Psychoanalyst (OMH Only)	328
Manager (OMH CR Only)	204
Marketing (Agency Administration)	614
Marketing (Program Administration) (Does not apply to SED)	509
Marriage and Family Counselor/Therapist (Does not apply to SED)	344
MD on call for OMH RTF Restraint Reviews (OMH Only)	353
Mental Hygiene Director/Commissioner of Mental Hygiene	701
Mental Hygiene Worker (not for OMH CR) (Does not apply to SED)	201
Nurse – Licensed Practical	316
Nurse Practitioner/Nursing Supervisor	315
Nurse – Registered	317
Nurse's Aide/Medical Aide	339
Office Worker (Agency Administration)	605
Office Worker (LGU Administration)	704
Office Worker (Program Administration)	505
Other Agency Administration Staff	690
Other Clinical Staff/Assistants	390
Other Direct Care Staff	290
Other LGU Administration Staff	790
Other Program Administration Staff	590
Other Support Staff	190
Paraprofessional – Non-Disabled (SED Only)	265
Paraprofessional – Social Services (SED Only)	213
Peer Specialist (OMH Only)	266
Pharmacist (Does not apply to SED)	350
Physician's Assistant (SED – Allowed Only in 9190 Evaluation Program)	319
Physician – M.D. (SED - Allowed Only in 9190 Evaluation Program)	320
Prevention/Education (OASAS Only)	345
Principal of School (SED Only)	514
Production Staff (Does not apply to SED)	400
Program or Site Director	501
Program Research/Evaluation (Does not apply to SED)	510
Psychiatrist	318
Psychologist (Licensed)	321
Psychologist (Master's Level)/Behavioral Specialist	322
Psychology Worker/Other Behavioral Worker	323
Residence/Site Worker (Does not apply to SED)	202
Residential Treatment Facility (RTF) Transition Coordinator (OMH Only)	352
Security	105
Senior Counselor (OMH CR Only)	205
Service Coordinator Medicaid Service Coordination (OPWDD Only)	351
Social Worker, Licensed (LMSW, LCSW)	324
Social Worker Master's Level (MSW)	325
Staff Training (Agency Administration)	620
Staff Training (Program Administration) (OASAS and OMH Only)	520
Staff Training (Program/site) (OPWDD and SED only)	347
Student (OMH Only)	355
Supervising Teacher (SED Only)	215 and 518
Supervisor (OMH CR Only)	206
Supervisor – Social Services (SED Only)	513
Teacher Aide	228
Teacher Assistant	232
Teacher Aide/Assistant - Substitute	230
Teacher – Art	269
Teacher – Blind and/or Deaf (SED Only)	263

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Position Title	Position Title Code
Teacher – Coverage/Floating (SED Only)	227
Teacher – Foreign	272
Teacher – Music	270
Teacher – Non-Disabled (SED Only)	260
Teacher – Other	222
Teacher – Physical Education	220
Teacher – Reading	274
Teacher – Resource Room	273
Teacher – Special Education	218
Teacher – Speech Certified (SED Only)	225
Teacher – Substitute (SED Only)	224
Teacher – Technology	271
Therapist – Activity/Creative Arts	332
Therapist – Occupational	333
Therapist – Physical	334
Therapist – Recreation	330
Therapist – Speech	335
Therapy Assistant/Activity Assistant	337
Transportation Worker	104
Transition Coordinator (SED Only)	255
Transition Specialist (SED Only)	257
Utilization Review/Quality Assurance (Agency Administration)	621
Utilization Review/Quality Assurance (Program Administration)	521
Utilization Review/Quality Assurance (Program/Site) (OPWDD Only)	349

Note: Certain job titles are unique to individual New York State agencies. Be certain that the title used is acceptable for the New York State Agency that provides your funding. OMH service providers should note that position titles are only acceptable for certain types of OMH programs.

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Below is a numeric list of position title codes:

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
SUPPORT STAFF		
101	Food Service Worker	All individuals associated with the supervision, preparation or production of food. Job titles may include: Baker, Butcher, Canteen Worker, Chef, Cook, Assistant Cook, Dietician, Dining Room Worker, Dishwasher, Food Manager, Assistant Food Manager, Kitchen Worker, Wait Staff. OASAS, OMH & OPWDD: Use Code 336 for Dietician/Nutritionist
102	Housekeeping and Maintenance	All individuals associated with the maintenance, cleaning and repair of the physical environment of a building. Job titles may include: Boiler Engineer, Carpenter, Chief Engineer, Cleaner, Custodian, Domestic Worker, Electrician, Engineer, Facility Related Workers, Foreman, Groundskeeper, Handyman, Housekeeper, Housekeeping Supervisor, Janitor, Maintenance Engineer, Maintenance Supervisor, Mason, Matron, Mechanic, Painter, Plumber, Porter, Supervisor of Physical Plant Operations.
104	Transportation Worker	All individuals engaged in maintaining the vehicles for or providing or supervising the transportation of program participants. Job titles may include: Attendant, Bus Monitor, Driver, Escort, Transportation Aide, Transportation Coordinator, Transportation Supervisor, Transportation Worker.
105	Security	All individuals engaged in providing or supervising the security of a building. Job titles may include: Caretaker, Security Officer, Watchman.
190	Other Support Staff	All individuals engaged in providing or supervising other support services not listed in the 100 series. Job titles may include: Audio-Visual, Receiving Clerk, General Labor, etc.
DIRECT CARE STAFF		
201	Mental Hygiene Worker (not for OMH CR) (Does not apply to SED)	All individuals engaged in providing non-discipline specific services which involve the training of ADL skills; provide personal care to program participants; promote habilitation and/or rehabilitation. Job titles may include Habilitation Specialist, Residence Counselor, House Parents, ADL Specialist, Instructor and Trainer, Residence Staff, Relief Staff, House Apartment Worker.
202	Residence/Site Worker (Does not apply to SED)	All individuals engaged in supervising non-discipline specific services which involve the training of ADL skills; provide personal care to program participants; promote habilitation and/or rehabilitation. Individuals in this position title do not perform any other administrative duties beyond the direct supervision of Direct Care staff. If other administrative functions are performed, allocate that portion associated with these functions using position code 501 or 502. Job titles may include Residence Director, Residence Manager, Hostel Manager, Residence Coordinator, Site Manager.
203	Counselor (OMH CR Only)	All individuals who perform this role as defined in the OMH Community Residence Program Model.
204	Manager (OMH CR Only)	All individuals who perform this role as defined in the OMH Community Residence Program Model.
205	Senior Counselor (OMH CR Only)	All individuals who perform this role as defined in the OMH Community Residence Program Model.
206	Supervisor (OMH CR Only)	All individuals who perform this role as defined in the OMH Community Residence Program Model.

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CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
207	Developmental Disabilities Specialist QIDP – Direct Care (OPWDD Only)	All individuals not included within another listed title with at least a Bachelor's degree in an appropriate field or one year of experience working with developmentally disabled persons engaged in providing or supervising services to program participants and their families. Job titles may include: Habilitation Specialist, Residence Counselor.
213	Paraprofessional – Social Services (SED Only)	All individuals under the immediate supervision and direction of a supervisor or caseworker and performs various support activities of case work services. Job title may include: Case Aide, Group Worker, Intern-Social Services, Family Advocate/Therapist.
215	Supervising Teacher (SED Only)	Provides for direct supervision of teachers. Certified Special Education teacher serving as a teacher 50 percent or more of his or her assignment in such capacity. Pursuant to Part 80 of the Regulations of the Commissioner of Education, a school administrator and supervisor serving greater than 25% (10 periods/week) of his or her assignment in any administrative or supervisory position must have valid administrative certification. If supervising more than 50 percent of assignment, see Code 518. For SEIT programs, the time a teacher spends performing the duties of a SEIT teacher must be reported using code 218 and the time a teacher spends directly supervising SEIT teachers must be reported using code 518.
218	Teacher – Special Education	A certified teacher who provides specialized instruction to students with disabilities.
220	Teacher – Physical Education	Self-explanatory.
222	Teacher – Other	A teacher performing functions not otherwise coded. Job titles may include teachers of: Drama, Home Economics, Industrial Arts, Keyboarding. See codes 263, 269, 270, 271, 272, 273 and 274 for other specialized teachers.
224	Teacher – Substitute (SED Only)	Self-explanatory. This is not a permanent position but is maintained on payroll records.
225	Teacher – Speech Certified (SED Only)	Certified as Teacher of Speech and Hearing Handicapped or Teacher of Deaf and Hearing Impaired.
227	Teacher – Coverage/Floating (SED Only)	An individual who covers sick days on a regular basis as a permanent position or as an extra teacher. The position is maintained on payroll records.
228	Teacher Aide	Assists teachers in non-teaching duties such as managing records, materials and equipment, attending to the physical needs of students and supervising students.
230	Teacher Aide/Assistant – Substitute	An individual who covers sick days of teacher aide or teacher assistant personnel. This is not a permanent position but it is maintained on payroll records.
232	Teacher Assistant	An individual who, under the supervision of a certified teacher, assists in such duties as working with individual students or groups of students on special instructional projects, providing teachers with information about students, assisting students in the use of instructional resources, assisting teachers in the development of instructional materials and assisting in instructional programs.
236	Guidance Counselor (SED Only)	Self-explanatory. Job titles may include: School Counselor, Vocational Counselor.
237	Curriculum Coordinator (SED Only)	A certified administrator or certified Special Education teacher with five years teaching experience who is knowledgeable about the New York State Learning Standards and responsible for ensuring that the program's curriculum is developed and aligned to such Standards. Monitors implementation of the curriculum, oversees curriculum training, and any curriculum adaptations.

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CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
238	IEP Coordinator (SED Only)	A certified or licensed individual in one of the job titles below who is responsible for ensuring that IEP recommendations are implemented and that each service provider responsible for implementation of a student's IEP is aware of his or her IEP responsibilities, including specific accommodations, program modifications, supports and/or services for the student, prior to implementation of such program. Serves as a liaison to the school district Committee on Special Education. Job Titles: Certified Special Education Teacher, School or Licensed Psychologist, Social Worker (Licensed or Master's Level), or Certified Administrator.
243	Behavioral Support Staff (SED Only) Replaces Crisis Intervention Worker	An individual with less than a Master's degree who assists in the implementation of positive behavioral interventions, supports and services.
254	Job Coach/Employment Specialist (OMH & OPWDD Only) (SED - See Codes 255 and 257)	An individual who is responsible for the provision of intensive or extended training related services and supports necessary to obtain employment in the community or for the development of employment opportunities with business and industry.
255	Transition Coordinator (SED Only)	Conducts Level 1 Vocational Assessment, participates in development of transition plans, coordinates school and local resources to provide vocational opportunities, develops post-secondary linkages, and works with ACCES's Vocational Rehabilitation Offices to coordinate vocational assessments beyond Level 1.
257	Transition Specialist (SED Only)	Conducts and monitors implementation of transition services on a student's IEP, such as training, education, employment, and where appropriate, independent living skills. May include direct assistance to persons in supported employment placements or other job experiences and to their employer, under the direction of a special education teacher, social worker or psychologist.
260	Teacher – Non-Disabled (SED Only)	Self-explanatory. (For use in Preschool Integrated Programs).
263	Teacher – Blind and/or Deaf (SED Only)	Teacher who provides special education services to students with disabilities who are blind and/or deaf. Job titles include teachers certified as Teacher of the Blind and Partially Sighted, Teacher of the Visually Impaired, Teacher of the Deaf, Teacher of the Hard of Hearing, or Teacher of the Deaf/Blind.
265	Paraprofessional – Non-Disabled (SED Only)	Self-explanatory. (For use in Preschool Integrated Programs). Includes Non-Disabled Teacher Aides and Assistants.
266	Peer Specialist (OMH Only)	Peer Specialists work with residents to facilitate the individual's recovery process.
267	Counselor – Alcoholism and Substance Abuse (CASAC) (Does not apply to SED)	An individual credentialed by the New York State Office of Alcoholism and Substance Abuse Services.
268	Counseling Aide/Assistant – Alcoholism and Substance Abuse (Does not apply to SED)	An individual functioning as defined for Alcoholism and Substance Abuse Counselor under supervision but who does not have a credential issued by the Office of Alcoholism and Substance Abuse Services.
269	Teacher – Art	Teacher who is certified to provide art education to meet Part 100 program and units of credit requirements.
270	Teacher – Music	Teacher who is certified to provide music education to meet Part 100 program and units of credit requirements.

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CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
271	Teacher – Technology	Teacher who is certified by SED to provide technology studies to meet Part 100 program and units of credit requirements.
272	Teacher – Foreign	Teacher who is certified by SED to provide foreign language to meet Part 100 program and units of credit requirements.
273	Teacher – Resource Room	Certified special education teacher that provides resource room services consistent with a student's Individual Education Program (IEP).
274	Teacher – Reading	Teacher who is certified in reading by SED to provide reading instruction.
290	Other Direct Care Staff	Anyone not listed in the 200 series engaged in providing direct care services.
CLINICAL STAFF		
301	Case Manager (Does not apply to SED)	Supervises the implementation of each individualized program, monitors services received, records progress and initiates required periodic reviews. Job title may include: Client Coordinator.
305	Counselor – Rehabilitation (Does not apply to SED)	All individuals who have a degree in rehabilitative counseling from a program approved by the State Education Department or with current certification by the Commission on Rehabilitation Counselor Certification.
309	Developmental Disabilities Specialist/Habilitation Specialist QIDP – Clinical (OPWDD Only)	All individuals not included in otherwise listed titles with at least a Bachelor's degree in an appropriate field from an accredited program and specialized training or one year experience working with developmentally disabled persons engaged in providing or supervising services to program participants and their families.
312	Emergency Medical Technician (Does not apply to SED)	An individual certified by the New York State Department of Health for a period of three years as being qualified in all phases of medical emergency technology including, but not limited to communications, first aid, equipment maintenance, emergency room techniques and procedures, patient handling and positioning, and knowledge of procedures and equipment used for obstetrics, respiratory and cardiac emergencies who has passed an examination in the regular and advanced American Red Cross first aid courses and other training as required by the Commissioner of Health.
313	Intensive Case Manager (OMH Only)	An individual who will engage clients through outreach, monitor and coordinate evaluations and assessments to identify client needs, coordinate and participate with clients in the development of a service plan, provide coordination and assistance in crisis intervention and stabilization, assist in achieving service plan objectives, independence and productivity through "on the street" support, training and assistance in use of personal and community resources, assist in developing community supports and networks and advocate for changes in the system.
314	Intensive Case Manager/Coordinator (OMH Only)	In addition to the duties of the Intensive Case Manager, the Coordinator is responsible for supervising the Intensive Case Manager, monitoring the service dollars plan and expenditures, and negotiating with provider agencies for the care of clients.
315	Nurse Practitioner/Nursing Supervisor	Licensed professional nurse who has advanced certification through the American Nurses Association in a clinical specialty area or who has completed a program registered by SED and received a certification of completion in a clinical specialty area relevant to the treatment of the disability being treated.
316	Nurse – Licensed Practical	Licensed as a practical nurse by SED. Under the supervision of a supervisory nurse or registered nurse, the LPN administers prescribed medication and treatment to persons and assists in carrying out the planned health care program and maintenance of health records.

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CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
317	Nurse – Registered	Licensed as a registered nurse by SED. Under the supervision of a physician or a supervising nurse, this person provides direct treatment and dispenses prescribed medication. (The supervision requirement above does not apply to SED)
318	Psychiatrist	Licensed as a physician by SED and certified or eligible to be certified by the American Board of Psychiatry and Neurology. Responsible for providing psychiatric services, including diagnosis and prognosis for purposes of determining appropriate placement services. Also counsels other appropriate staff regarding individual therapy. Use of this title for SED is limited to consulting psychiatric services and not for the direct provision of psychiatric services.
319	Physician's Assistant (SED - Allowed in 9190 Program Only)	Licensed and registered as such by SED and whose practice is in conformity with Section 3701 of the Public Health Law.
320	Physician – M.D. (SED – Allowed in 9190 Program Only)	Licensed by SED as a physician in general practice or specialized medicine.
321	Psychologist (Licensed)	Licensed as a psychologist by SED. Performs duties associated with the diagnosis and treatment of persons, including administering and interpreting projective and other psychological tests.
322	Psychologist (Master's Level)/ Behavioral Specialist	Individuals who have at least a Master's degree in psychology working in accordance with the exemptions found in Article 153, Title 8 of the Education Law.
323	Psychology Worker/Other Behavioral Worker	Individuals with less than a Master's degree in psychology working in accordance with the exemptions found in Article 153, Title 8 of the Education Law who assist in the implementation of positive behavioral interventions, supports and services.
324	Social Worker – Licensed (LMSW, LCSW)	Individuals, who are licensed in this discipline by SED and who are engaged in the provision of routine social work. LCSW must meet the additional educational experience and examination requirements as mandated.
325	Social Worker – Master's Level (MSW)	Individuals with a Master's degree in social work who are not licensed by SED but who are engaged in the provision of routine social work.
327	Licensed Mental Health Counselor (OASAS & OMH Only)	Individuals licensed as a Licensed Mental Health Counselor by the NYS Education Department. These individuals use assessment instruments, provide mental health counseling and psychotherapy, clinical assessment and evaluation, treatment planning and case management, prevention, discharge and aftercare services.
328	Licensed Psychoanalyst (OMH Only)	Individuals licensed as a Licensed Psychoanalyst by the NYS Education Department. These individuals use assessment instruments and mental health counseling and psychotherapy to identify, evaluate and treat dysfunctions and disorders for the purpose of providing appropriate psychoanalytic services. Psychoanalysts use the relationship between the patient and the analyst as an essential tool to promote emotional growth and healthy functioning through changes in the patient's character.
330	Therapist – Recreation	Individuals who have a Bachelor's or Master's degree in therapeutic recreation from a program approved by SED or a registration in this discipline by the National Therapeutic Recreation Society.
332	Therapist – Activity/Creative Arts	Provide, supervise or direct professional activity or creative arts therapy services (music, art, dance, etc.) and hold at least a Bachelor's degree and, where applicable, are certified by SED or a recognized national professional organization.
333	Therapist – Occupational	Individuals licensed in this discipline by SED.
334	Therapist – Physical	Individuals licensed in this discipline by SED.

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CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
335	Therapist – Speech	Individuals licensed in this discipline by SED.
336	Dietician/Nutritionist (Does not apply to SED)	An individual responsible for the planning of nutritionally balanced meals or overseeing special diets as prescribed by a physician.
337	Therapy Assistant/ Activity Assistant	An individual performing functions defined as teachers or therapists not otherwise coded.
339	Nurse's Aide/Medical Aide	Under the supervision of the professional staff, assists in performing routine duties.
340	Behavior Intervention Specialist 1 (OPWDD Only)	An individual who assists in the implementation of behavior interventions, supports and services. This position requires a Master's from a clinical field of psychology, social work or applied psychology and training in assessment; or BCBA and Master's in behavior analysis or closely related field; or a NYS license in mental health counseling with appropriate experiences.
341	Behavior Intervention Specialist 2 (OPWDD Only)	An individual who assists in the implementation of behavior interventions, supports and services. This position requires a BCBA and Master's in behavior analysis or closely related field; or a Master's in clinical treatment field or NYS license in mental health counseling and have approved specialized training in FBAs and BSPs; or Bachelor's in human services field, and experience, and is actively working towards graduate degree in applied psychology, social work or special education.
342	Clinical Coordinator (Does not apply to OPWDD)	Responsible for overseeing clinical aspects of the program, including staff supervision and case review.
343	Intake/Screening (Does not apply to SED)	An individual who is responsible for initial assessment, screening and referral of persons presented for admission
344	Marriage and Family Counselor/Therapist (Does not apply to SED)	An individual responsible for providing assessment or counseling services to more than one member of the family in the same session or where applicable, licensed as a marriage and family therapist.
345	Prevention/Education (OASAS Only)	An individual providing alcohol information education, training and program technical assistance to the community, schools, parents, young people, special target populations and other health and human service prevention and treatment providers.
346	Identification/ Information Referral (OASAS Only)	An individual who identifies persons with problems that may be associated with alcohol use, provide screening and, when needed, information to accept a referral for assessment of appropriate treatment services.
347	Staff Training (Program/Site) (OPWDD & SED Only)	An individual responsible for training of program participant care staff in the areas of counseling, record keeping, case management, etc.
349	Utilization Review/ Quality Assurance (Program/Site) (OPWDD Only)	An individual responsible for monitoring adequacy and/or appropriateness of program participant services and for compliance with all applicable federal, state and local laws, regulations and policies.
350	Pharmacist (Does not apply to SED)	Licensed by SED and responsible for dispensing medications.
351	Service Coordinator Medicaid Service Coordination (OPWDD Only)	An individual who provides MSC services in accordance with participant's Service Coordination Agreement and Individualized Service Plan (ISP). MSC service coordinators must meet the qualifications identified in the Medicaid Service Coordination Vendor Manual.
352	Residential Treatment Facility (RTF) Transition Coordinator (OMH Only)	An individual responsible for providing case management services for a child within the RTF; linking the child to local treatment and support at the time of discharge from the RTF; and providing time limited support to the child and family following discharge from the RTF to ensure a successful transition to a community setting.

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CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITION
353	MD on call for OMH RTF Restraint Reviews (OMH Only)	OMH Residential Treatment Facilities are required to provide 24-hour coverage of a physician to review the need for Restraint of a child. This code should only be used after the normal working hours of the RTF's physician(s), and should only be used on schedule CFR-4A.
354	Crisis Prevention Specialist (OMH RTF Only)	This individual will be responsible for the coordination of all aspects of training, mentoring and ongoing monitoring of crisis prevention activities. The Crisis Prevention Specialist, in close collaboration with the RTF Director, will be charged with achieving a significant reduction in the number/duration of physical holds with the ultimate goal of the elimination of the use of restraint.
355	Student (OMH Only)	Student who is participating in a program approved by the NYS Education Department that leads to a degree or license in one of the professional disciplines. Students must be supervised and evaluated in accordance with a signed agreement between a provider and a NYS Education Department approved educational program, and pursuant to a provider's policies and procedures for student placements and clinical supervision.
356	Early Recognition Specialist (ERS) (OMH Only)	An individual who supports the early identification of childhood mental illness through the creation and maintenance of productive partnerships, community outreach, child and family engagement, active parental consent and carrying out a community-wide plan for early identification. This position requires as minimum education requirement, a bachelor's degree in a major or concentration of social work, psychology, nursing, rehabilitation, education, occupational therapy, physical therapy, recreation or recreation therapy, counseling, community mental health, child and family studies, sociology, speech and hearing; or a NYS teacher's certificate for which a bachelor's degree is required; or NYS licensure and registration as a Registered Nurse and a bachelor's degree. It is also expected that the individual will have a minimum of two years (with a master's degree or higher) or four years (with a bachelor's degree) of experience providing direct services to children with emotional disturbance and their families.
357	Broker – Start-Up and Support (Does not apply to SED)	This title is used for all variants of Consolidated Supports and Services (CSS) and Self-Directed services. The Broker assists the individual to develop and maintain a complete and approvable CSS Plan/Self-Directed Budget taking into account the desired value outcomes.
390	Other Clinical Staff/Assistants	All individuals engaged in providing, supervising or specifically directing clinical services who are not included in the 300 series. Includes Dentistry, Radiology, Lab, Central Medical Supply.
PRODUCTION STAFF		
400	Production Staff (Does not apply to SED)	An individual engaged in providing, supervising or specifically directing production services including, but not limited to such titles as Production Manager, Workshop Supervisor, Warehouse Worker, Production Worker, Floor Supervisor, Contract Procurement Specialist, etc. Specify the title on Schedule CFR-4 and use this code number.
PROGRAM ADMINISTRATION STAFF		
501	Program or Site Director	An individual responsible for the overall direct administration of: 1) a specific program type that operates at more than one site; or 2) multiple program types at a single site; or 3) a specific program type at a single site.
502	Assistant Program or Assistant Site Director	Assists either the Program Director or the Site Director in the direct administration of a specific program type. Job title may include: Assistant Education Director.

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CODE NUMBER	POSITION TITLE/ JOB TITLE (S)	DEFINITION
505	Office Worker (Program Administration)	Responsible for record-keeping, billing, correspondence and general office duties. Job titles may include Bookkeeper, Clerk, Receptionist, Secretary and Typist.
506	Accountant (Program Administration)	Responsible for the establishment and maintenance of the program's systematic fiscal transactions for the agency. This position title does not include consultants.
509	Marketing (Program Administration) (Does not apply to SED)	An individual responsible for promoting the program's services for the primary purpose of increasing facility utilization.
510	Program Research/ Evaluation (Does not apply to SED)	Responsible for conducting ongoing evaluation or research.
511	CSE/CPSE Chairperson (SED Only)	A certified or licensed individual in one of the job titles below who serves as the chairperson of the Committee on Special Education (CSE) or Committee on Preschool Special Education (CPSE). Individuals must be qualified to provide or supervise special education and be knowledgeable about the general education curriculum and the availability of special education resources. Job Titles: Certified Special Education Provider (e.g., teacher or related service provider), Certified School Psychologist, Licensed Psychologist, Certified Administrator.
513	Supervisor – Social Services (SED Only)	Staff who directly supervise or assist in the supervision of the provision of Clinical Services, Social Services, or Educational Related Services. May also include Supervising Teacher, Head Teacher.
514	Principal of School (SED Only)	Self-explanatory.
515	Assistant Principal (SED Only)	Self-explanatory.
516	Coordinator/Education Department Head (SED Only)	Self-explanatory. Job titles may include: Program Specialist, Director of Program Development, Program Coordinator/Manager.
518	Supervising Teacher (SED Only)	Provides for direct supervision of teachers. Certified administrator or supervisor of special education programs if serving more than 50 percent of his or her assignment in such capacity. Pursuant to Part 80 of the Regulations of the Commissioner of Education, a school administrator and supervisor serving greater than 25% (10 periods/week) of his or her assignment in any administrative or supervisory position must have valid administrative certification. For SEIT programs, all the time a teacher spends directly supervising SEIT teachers must be reported using this code. Certification requirements detailed above apply.
520	Staff Training (Program Administration)	An individual responsible for the training of program staff. (OPWDD and SED: Use Code 347).
521	Utilization Review/Quality Assurance (Program Administration)	An individual responsible for monitoring the adequacy and/or appropriateness of program participant services and for compliance with all applicable federal, state and local laws, regulations and policies. (OPWDD: Use Code 349)
590	Other Program Administration Staff	Any program administration staff not listed in the 500 series. Job title may include: Supported Employment Coordinator.

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AGENCY ADMINISTRATION STAFF		
CODE NUMBER	POSITION TITLE/ JOB TITLE (S)	DEFINITION
601	Executive Director/Chief Executive Officer	Responsible for the overall administration of the agency. This position is usually appointed by and is under the general direction of the governing board of the agency.
602	Assistant Executive Director	Assists the Executive Director in the overall administration of the agency and acts on their behalf when necessary.
603	Comptroller/Controller	Responsible for overall fiscal management of the agency. Also includes Business Official, Director of Finance.
604	Director of Division	Responsible for overseeing a major segment of functions for the agency. Also includes Director of Admissions, Director of Purchasing, Director of Human Services, Director of Personnel, Director of Public Relations, Director of Data Processing
605	Office Worker (Agency Administration)	Responsible for agency-wide record-keeping, billing, correspondence and general office duties. Job titles may include Bookkeeper, Clerk, Receptionist, Secretary and Typist.
606	Accountant (Agency Administration)	Responsible for the establishment and maintenance of the agency's systematic fiscal transactions and preparation of financial statements for the agency. This position title does not include consultants.
609	Computer/Data/Statistical Specialist	Responsible for developing computer applications and/or provision of computer support.
610	Community Relations	Responsible for activities designed to present a positive public image of the agency/program.
612	Administrative Assistant	This position functions primarily as assistant to agency management in the performance of such activities as communications with internal or external parties, preparation of written work, liaison work, etc.
614	Marketing (Agency Administration)	An individual responsible for promoting the agency's services.
620	Staff Training (Agency Administration)	An individual responsible for training of agency staff.
621	Utilization Review/Quality Assurance (Agency Administration)	An individual responsible for monitoring the adequacy and/or appropriateness of the agency's services and for compliance with all applicable federal, state and local laws, regulations and policies
690	Other Agency Administration Staff	Includes all miscellaneous administration titles not included in the 600 series.
LOCAL GOVERNMENTAL UNIT ADMINISTRATION		
701	Mental Hygiene Director/Commissioner of Mental Hygiene	The individual responsible for the overall direction of the mental hygiene activities/programs of the county
702	Assistant Mental Hygiene Director	The individual who assists the Director/Commissioner of Mental Hygiene and acts in his/her behalf when absent in the overall direction of mental hygiene activity of the county.
703	Accountant/Bookkeeper (LGU Administration)	The individual responsible for recording and maintaining mental hygiene fiscal transactions of the county.
704	Office Worker (LGU Administration)	The individual performing as secretary/clerk and/or billing mental hygiene programs of the county.
790	Other LGU Administration Staff	Any LGU administration staff that are not listed in the 700 series.