

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Office of Operations and Management Services
Albany, New York 12234

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Rate Setting Unit
Albany, New York 12234

INSTRUCTION MANUAL
FOR SA-111 SUPPLEMENTAL SCHEDULES FOR PROGRAMS
OPERATED BY
BOARDS OF COOPERATIVE EDUCATIONAL SERVICES
FOR STUDENTS WITH DISABILITIES UNDER
DEPARTMENT OF HEALTH CHAPTER 428,
AND EDUCATION LAW §4408 AND §4410

SA-111

ANNUAL FINANCIAL AND STATISTICAL REPORT

FISCAL YEAR ENDED JUNE 30, 2020

**NEW YORK STATE EDUCATION DEPARTMENT
RATE SETTING UNIT**

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**NEW YORK STATE EDUCATION DEPARTMENT
RATE SETTING UNIT**

I. INTRODUCTION

This manual contains instructions to complete the 2019-20 SA-111 Supplemental Schedules. The schedules will be used by the Rate Setting Unit to calculate 2019-20 reconciliation and 2021-22 prospective tuition rates for special education programs.

SOFTWARE: All BOCES providers must complete the 2019-20 Supplemental Schedules using approved CFR software. Please note that for 2019-20 reporting all BOCES providers must submit their CFR electronically via the Internet. A document control number (DCN) is required in order to submit via the Internet, as well as your approved agency code (see Appendix E).

Appendix A contains information regarding the vendors who are developing approved software for use in completing the SA-111 Supplemental Schedules for submission to the SED Rate Setting Unit.

BASIS OF ACCOUNTING: SA-111 Supplemental Schedules must be completed using the modified accrual basis of accounting according to Generally Accepted Accounting Principles.

INTERNET SUBMISSIONS: To transmit your agency's CFR via the internet, please access the NYS OMH CFRS Home Page at the following link: <https://apps.omh.ny.gov/omhweb/cfrsweb/>. The data from your agency's CFR submission will be saved to a central repository maintained by the OMH and forwarded to each applicable funding State Agency (SED). BOCES providers will submit their CFR by accessing the OMH website (link above), accessing the upload CFR link, and following the appropriate steps. After a successful submission, your agency's CFR data will be transmitted to SED. Generally, the information will be forwarded to each funding State agency on a daily basis.

SUBMISSION REQUIREMENTS: a complete financial statement submission to the SED Rate Setting Unit consists of the following:

- One copy of the SA-111 *Schedule 8 for your special education COSERs only*
- An electronic submission via the internet of the supplemental schedules prepared using Consolidated Fiscal Report (CFR) software
- A paper copy of the required certification Schedule 8-i/CFR-i. The Document Control Number (DCN) on the certification schedule must match the DCN of the internet submission.

Revised CFRs and Certifications: When a revised CFR is uploaded, the previously submitted Certification schedule is no longer valid. BOCES provider must submit a new agency certification (CFR-i) reflecting the new DCN.

DUE DATE: Please forward your complete financial statement submission to the SED Rate Setting Unit by February 1, 2021.

WHERE TO FILE FINANCIAL STATEMENTS: Please send the SA-111 Schedule 8 for your special education COSERs and a paper copy of Schedule CFR-i to one of the following:

Email: You may email the applicable documents to your assigned rate setting accountant, which can be found here: http://www.oms.nysed.gov/rsu/Contact_Us/SchoolAssignments.html.

Mail: You may mail the applicable documents to the address below:

**New York State Education Department
Rate Setting Unit
Room 302 Education Building
Albany, New York 12234**

WHO TO CONTACT: If you have any questions regarding the supplemental schedules, please contact your accountant representative at the SED Rate Setting Unit at (518) 474-3227.

INTERNET WEB PAGE: For information regarding instruction manuals, CFR software vendors, training notices, viewing tuition rates, and other rate setting items, please visit the SED Rate Setting Unit web site at <http://www.oms.nysed.gov/rsu/home.html>.

II. GENERAL INSTRUCTIONS FOR COMPLETING CFR SCHEDULES

- Complete an individual column on Schedule **CFR-1, CFR-4, CFR-4A** and **SED-1** for each program type. If more than one SED approved program code exists within a program type, use a separate column to report the data for each approved program code requiring a tuition rate (refer to your agency’s SED issued approval letter for approved program codes). See chart below for program codes and associated program type. Refer to **Appendix B** for program type definitions.

PROGRAM CODES	PROGRAM TYPE
SUMMER SCHOOL AGE PROGRAMS (AGES 5-21)	
9000-9009	Summer School Age Special Class
9010-9014	Summer School Age Special Class Half Day
PRESCHOOL PROGRAMS (Ages 3-4)	
9100-9109	Preschool Special Class – over 2.5 hours per day
9115-9119	Preschool Special Class – 2.5 hours per day
9135-9139	Preschool Special Education Itinerant Teacher (SEIT)
9160-9163	Preschool Integrated Special Class – over 2.5 hours per day
9164	Day Care Costs in Excess of Integrated Program
9165-9169	Preschool Integrated Special Class – 2.5 hours per day
9190-9194	Preschool Evaluations
9200	Preschool Related Services
EARLY INTERVENTION PROGRAMS (Ages 0-2)	
9300	Early Intervention Program All Services
9301	Early Intervention Program Initial Service Coordination

9302	Early Intervention Program Ongoing Service Coordination
9310	Early Intervention Program Screenings
9311	Early Intervention Program Core Evaluations
9312	Early Intervention Program Physician Evaluations
9313	Early Intervention Program Supplemental Evaluations
PROGRAM CODES	PROGRAM TYPE
9320	Early Intervention Program Home/Community Based Individual Collateral Services
9330	Early Intervention Program Office/Facility Based Individual Collateral Services
9341	Early Intervention Program Group Developmental Intervention Services
9342	Early Intervention Program Parent/Child Group Services
9343	Early Intervention Program Family/Caregiver Support Group Services
OTHER PROGRAMS	
0670	Shared Transportation Program
9230	Special Education 1:1 Aides (Summer School Age and Preschool)
9805	Section 611 LEA Sub-allocation
9806	Section 619 LEA Sub-allocation
9811	Smart School/Instructional Technology NYS Grant

- **For preschool center-based and integrated programs**, if a program type is comprised of more than one COSER (e.g. Preschool Centerbased Half-Day program is classified under separate COSERs for the 2 month and 10 month periods), please report the 2 month and 10 month periods combined under one program code on the supplemental schedules. **Please do not separate approved 12-month preschool programs into 2 month and 10 month components on the supplemental schedules. Report your programs on the schedules using the approved program codes per your agency approval letter.**
- Provide BOCES Name, Agency Code, and School Code on each schedule.
- Provide the 4 digit Program Code on each schedule as found on the chart above.
- Provide the 2 character Program Code Index on each schedule as listed below:

YY = July - June twelve month period (also to be used for school age summer)

- **Early Intervention Programs** (0-2) funded through the Department of Health must be reported as SED programs throughout the supplemental schedules. Please refer to the chart on pages 2-3, and Appendix B for a list of the Early Intervention program codes (9300-9343) and descriptions.
- For BOCES providers operating an approved **Preschool Special Class in an Integrated Setting at 2.5 hours per day**, data related to preschool students with disabilities and preschool students without disabilities should be reported as a single program using program code **9165** (program code range is 9165-9169). For BOCES providers operating an approved **Preschool Special Class in an Integrated Setting for greater than 2.5 hours per day**, data related to preschool students with disabilities and preschool students without disabilities should be reported as a single program using program code **9160** (program code range is 9160-9163). If there is an integrated program approved for both 2.5 hours per day (half day) and greater than 2.5 hours per day (full day), the data is reported separately on the CFR for the half day and full day as program codes 9165 and 9160, respectively.
- For all **Preschool Integrated** programs, non-disabled revenues for private-pay students are reported on Schedule CFR-1, Section C, line 69 "Participant Fee" revenue. Non-Disabled revenues for Universal Pre-K students are reported on CFR-1, Section C, line 87 "Non-Disabled Universal Pre-Kindergarten Revenue." Non-disabled student FTE's should be reported on Schedule SED-1 Line 100 (UPK) or line 101 (Private) as applicable.
- **Day Care** expenses incurred beyond the approved duration of a **Preschool Special Class in an Integrated Setting** should be reported in a separate program using program code **9164**.
- Expenses, revenues, and FTE enrollment for approved **1:1 teacher aides** and **1:1 Nurses** (preschool and summer school age) must be reported as a separate program using program code **9230**.
- For BOCES providers operating an approved **Preschool Segregated Special Class program for 2.5 hours per day**, data should be reported as a single program on the supplemental schedules using program code **9115** (program code range is 9115-9119). For BOCES providers operating an approved **Preschool Segregated Special Class program in excess of 2.5 hours per day**, data should be reported as a single program using program code **9100** (program code range is 9100-9109). If a preschool special class segregated program is approved for both 2.5 hours per day (half day) and greater than 2.5 hours per day (full day), the data is reported separately on the CFR for the half day and full day as program codes 9115 and 9100, respectively. If a service provider who is approved for 2.5 hours per day operates a 2.5 hour morning session and a 2.5 hour afternoon session with one program approval (with different students in A.M. and P.M.), the expenses, revenues and FTE enrollment for both sessions is reported in a single program on the schedules.
- BOCES providers operating both SED special education programs and **ACCES** programs: All **ACCES** program data should be reported in aggregate on **Schedule CFR-2 Column 7 Other**.
- **Universal Pre-K**: The following pertains to Early Childhood programs for eligible 4 year olds provided at school and non-school sites pursuant to Chapter 436 of the Laws of 1997:

- If your agency operates Universal Pre-K in conjunction with a special class in an integrated setting program (program 9160 or 9165), report the revenues and expenses for Universal Pre-K in the 9160 and 9165 programs as applicable. Revenues for Universal Pre-K should be reported as "Other Revenues" on CFR-1 line 94 and identified as such on the detail provided for line 87. Report non-disabled enrollment for Universal Pre-K on line 100 of Schedule SED-1.
- If the universal Pre-K program is not operated in conjunction with a special class in an integrated setting program, **do not** report the revenues and expenses for Universal Pre-K on the supplemental schedules.

Miscellaneous Instructions

- All rows and columns must foot and crossfoot (software performs this).
- Do not use dollar signs.
- Report all dollar amounts to the nearest whole dollar (e.g. 47.49 = 47; 47.51 = 48).
- Staff and student FTE's are rounded to *three* decimal places (e.g. .8756 = .876; .8754 = .875).
- Please note that figures on many supplemental schedules are carried forward to other supplemental schedules; changes to one schedule may affect the figures on other schedules.
- The recommended order of completion of the supplemental schedules is as follows:

Schedule SED-4 - Related Service Capacity, Need and Productivity
 Schedule CFR-4 - Personal Services
 Schedule CFR-4A - Contracted Direct Care and Clinical Personal Services
 Schedule CFR-1 - Program/Site Data, Lines 1 through 64
 Schedule CFR-3 - Agency Administration
 Schedule CFR-1 - Program/Site Data, Lines 65 through 107
 Schedule CFR-2 – Agency Fiscal Summary
 Schedule SED-1 - Program and Enrollment Data

- Refer to the [July 2019 edition of the Reimbursable Cost Manual \(RCM\)](#), which defines reimbursable costs for the July 2019 - June 2020 school year. Also refer to the [2019-20 Rate Setting Preschool Methodology Memorandum](#) dated July 8, 2019 and the [2019-20 Rate Setting School Age Methodology Memorandum](#) dated July 8, 2019, which addresses the rate-setting methodology for the 2019-20 School Year, for specific information on cost principles, accounting/record-keeping requirements and definitions. These documents may be found at the SED Rate Setting website located at <http://www.oms.nysed.gov/rsu/home.html>.

III. INSTRUCTIONS FOR COMPLETING "AGENCY IDENTIFICATION AND CERTIFICATION STATEMENT"

This schedule is used to report agency identifying data and serves as a certification statement by the service provider's chief executive officer attesting to the validity of the information contained in the SA-111 Supplemental Schedules.

Agency Name -Indicate the name of the organization (service provider) that operated the program(s).

Agency Address - Indicate the address of the organization that operated the program(s). Please check the box if the agency address changed since the previous reporting period.

Agency Code - Indicate the five-digit code assigned to the organization that operated the program(s). See **Appendix E** to obtain the agency code for your organization.

County Name - Indicate the county where the organization's headquarters is located

County Code - Indicate the county code (See **Appendix C**).

Type of Ownership - The type of ownership for a BOCES provider should be indicated as:

Governmental: An entity operated by a state, county or municipality.

Person to Contact - Indicate the person that can answer questions regarding the document. Include this person's telephone number, title, e-mail address, and FAX number. Also include this individual's mailing address if it differs from the Agency Address (use additional sheet if needed). Please mark the applicable box on the CFR software if the person to contact has changed since the previous reporting period.

School Code - Indicate the twelve-digit code assigned to your organization.

Federal Employer Identification Number (OPWDD only) – Not applicable for BOCES

State Agency (ies) - Indicate the New York State funding agencies for the reported program(s). BOCES providers - indicate SED.

Submission Type – All BOCES providers file a full submission; indicate by using "Full CFR".

Date - Indicate the date the chief executive officer signs the certification statement.

Telephone Number - Indicate the chief executive officer's telephone number.

Name and Title - Indicate the name and title of the organization's chief executive officer. For BOCES providers, this individual is usually the district superintendent. **Note:** Please mark the box if the Chief Executive Officer position has changed since the previous reporting period.

Signature - the corporate organization's chief executive officer provides their signature.

Number of Pages - Indicate the total number of pages submitted (including attachments to the CFR Report/Supplemental Schedules).

Note: A revised CFR electronic submission and CFR-i Certification Statement may be required if significant changes to the SA-111 Supplemental Schedules are required following a desk review by SED Rate Setting staff.

IV. INSTRUCTIONS FOR COMPLETING SCHEDULE CFR-1 "PROGRAM/SITE DATA"

This schedule is used to report Program Administration and Program/Site expenses and revenues for the designated reporting period on a program specific basis. Program/site expenses are direct care & facility costs directly associated with the provision of services. Program administration costs are administrative costs that are directly attributable to a specific program/site (i.e. personal services and fringe benefits of the Principal, Program Director, etc.)

Report expenses on the appropriate expense lines. If there is no applicable expense line for a particular expense section, report the expense on one of the "Other" lines provided.

Please provide detail for any individual item(s) greater than \$1,000 reported per program code for the following lines on Schedule CFR-1:

- Line 27 -Payment to BOCES / School Districts
- Line 33 -Other Internal Service Activity Programs
- Line 61 -Operations and Maintenance Transfer
- Lines 40, 47, 62, and 94 - "Other"

In addition, please provide detail for all items reported per program for the following lines:

- Line 79 - Federal Grants
- Line 80 - State Grants

Note: When using approved CFR software, a drop down screen has been provided in the software enabling the user to enter details for items reported on CFR-1 lines designated as "Other" (lines 40, 47, 62, and 94) and for lines 79 and 80 (grants). For lines 27, 33, and 61, please provide detail on a separate page (see the RSU web page for a printable detail sheet).

State Agency - BOCES providers please mark the "SED" box.

Agency Name - Indicate the name of the organization (service provider) that operated the program(s).

Agency Code - Indicate the five-digit agency code assigned to the organization that operated the program(s). (See Appendix E)

School Code - Indicate the twelve-digit school code assigned to your organization. To obtain the school code assigned to your agency, contact your SED Rate Setting account representative.

Column Number - CFRS Software automatically assigns column numbers within each of the funding state agency schedules. The columns are arranged in ascending order based on a hierarchy of program code, program code index and program/site identification number.

SECTION A: GENERAL INFORMATION

1. **Program Type** - Report the type of program operated using the program names listed in Appendix B.
2. **Program Code** - Report the program code using the codes listed in Appendix B.

Program Code Index - For an SED program, enter **YY** for July - June CFR reporting period (also used for school age summer).
3. **Program/Site Identification Number** - Indicate the program/site identification number as follows: use the first 4 digits of your Agency Code followed by the 3 digit Service Code (Co-Ser) number applicable to each program column. If more than one CO-SER number is reported within a program code, provide any one of the CO-SER numbers.
4. **Program/Site Name** - Indicate the name used by the service provider to identify the program.
5. - 6. **Program/Site Address** - Indicate the number, street, city and zip code of the program/site. If the program/site does not have its own address, use the address of the service provider's headquarters.
- 7a. **Medicaid Provider Agreement Number** - Not applicable for BOCES
- 7b. **National Provider ID Number** - Not applicable for BOCES
8. **County Code** – Indicate the county where the program/site is located using the codes listed in Appendix C
9. **Date Site Opened** - Indicate the date the program/site was authorized to admit the first person. For certified programs, show the effective date of the initial operating certificate. Report this date as mm/dd/yyyy.
10. **Certified Capacity (OASAS, OPWDD, and SED Only)** - Not applicable for BOCES
11. **Actual Capacity (OMH, OPWDD, and SED Only)** - Not applicable for BOCES
12. **Actual Days Program/Site Open** - Not applicable for BOCES
- 13a. **Medicaid Fee for Service Units of Service** – Not applicable for BOCES
- 13b. **Medicaid Managed Care Units of Service** – Not applicable for BOCES
- 13c. **All Other Units of Service:**
 - **Preschool Evaluation (Program Codes 9190 - 9194)**

Report the total number of CPSE mandated initial evaluation components provided for all classifications of evaluations. Refer to Appendix F entitled “Components of a Comprehensive Preschool Evaluation” for the specific types of allowable evaluations.

14. **Respite or TUBS Units of Service (OPWDD Only)** - Not applicable for BOCES
15. **Program/Site Square Footage (OASAS, OPWDD, and SED Only)** - Not applicable for BOCES.

SECTION B: EXPENSES

Personal Services

16. **Personal Services - Program/Site & Program Administration** - Report the sum of the amounts paid for all Program/Site and Program Administration staff (Position Title Codes 100 - 599) as reported on Schedule CFR-4 for each program.
17. **Vacation Accruals - Program/Site and Program Administration** - Not applicable for BOCES

Fringe Benefits

Note: Service providers may use actual fringe benefits or a fringe benefit percentage applicable to each program/site.

18. **Mandated Fringe Benefits** – Report costs of all employer contributions for Social Security, Workers compensation, Unemployment Insurance, and New York State Disability as mandated by Federal, State or Local Laws for program/site and program administration staff salaries reported on line 16.
19. **Non-Mandated Fringe Benefits** – Report costs of all employer contributions for fringe benefits not mandated by Federal, State or Local Laws for program/site and program administration staff salaries reported on line 16. Examples include: sick leave accruals (vested and funded), health insurance, dental insurance, major medical, combined insurance plan (single premium that includes health, dental, and/or major medical), life insurance, and pension fund or retirement.
20. **Total Fringe Benefits** - Report the sum of lines 18 and 19.

Other-Than Personal Services

21. **Food** - Report costs incurred by the program/site in providing meals and/or snacks to the program/site participants.
22. **Repairs and Maintenance** - Report costs related to minor repairs of the program/site physical plant and/or costs that maintain or restore an asset to its normal or expected useful life. Also include costs for contracted services, such as housekeeping, garbage removal and snow removal.

23. **Utilities** - Report the costs related to electricity, heat, water, and sewage system charges.
24. **Transportation Related - Participant (Travel - Direct Care)** - Report costs related to site based vehicles used for transportation of students/clients, e.g., field trips, transportation between multiple locations within a program/site. Include vehicle fuel, repairs and maintenance. Do not include vehicle lease or vehicle insurance costs, as they are reported on lines 42 and 39, respectively. Do not report costs for transporting students between home and school in the tuition rate program cost columns or in the evaluation cost columns. Do not include garaging costs for vehicles; these costs are reported on lines 49 or 55.
25. **Staff Travel (Travel - Program Administration)** - Report costs related to program/site and program administration for transportation, lodging, subsistence, and related items incurred by employees who are in travel status on official business of the service provider.
26. **Participant Incidentals** - Report costs associated with participant entertainment, recreation, summer camp, and clothing for which the service provider has paid. Do not include expenses that the participant has personally paid for.
27. **Expensed Adaptive Equipment (Payments to BOCES/School Districts)** - BOCES providers please report any payments to other BOCES or school districts on this line (e. g. facility rental, contractual services, etc.). **Attach detail (including program code, line number, description and amount) for any individual item in excess of \$1,000 for a given program/site.**
28. **Expensed Equipment** - Report the cost of all program/site and/or program administration non-adaptive equipment purchased during the cost report period.
29. **Sub Contracted - Raw Materials** – Not applicable for BOCES
30. **Participant Wages Non-Contract** - Not applicable for BOCES
31. **Participant Wages - Contract** - Not applicable for BOCES
32. **Participant Fringe Benefits** - Not applicable for BOCES
33. **Section 43.04 Services Assessment - (Other Internal Service Activity Programs)** - BOCES providers please report other internal service activity transfers on this line. **Attach detail (including program code, line number, description and amount) for any individual item in excess of \$1,000 for a given program/site.**
34. **Staff Development** - Report costs incurred for in-service training of staff or staff attending work related conferences, seminars, courses, etc.
35. **Contracted Direct Care and Clinical Personal Services** - Report the total amount of all program/site direct care and clinical contracted personal services. These contracted personal services must also be detailed on Schedule CFR-4A as Position Title Codes 200 through 399. All other program/site or program administration contracted services should be reported on the most appropriate line of Schedule CFR-1.

36. **Supplies and Materials / Non-Household (*Direct Care*)** - Report costs for program and therapeutic supplies, medical supplies and other expenses related to the operation of the program/site.
37. **Household Supplies (*Facility/Program Administration*)** - Report cleaning and housekeeping supplies, bedding/linens, etc. Also, include general supplies, EDP software, and postage used in the general administrative operations of the specific program/site.
38. **Telephone** - Report costs for telephone service, including purchase, installation, maintenance.
39. **Insurance - General** - Report insurance costs for general, liability, bonding, professional malpractice, vehicle or other insurance costs related to program/site and program administration. Do not report insurance expenses related to equipment or property on this line; report these expenses on line 55.
40. **Other (OTPS)** - Report other program/site and/or program administration OTPS items not reported on lines 21 through 39. The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. Items with a cost in excess of \$1,000 must be listed in the worksheet separately by description and amount. Items costing less than \$1,000 each may be aggregated and listed as "All Items < \$1,000 Each" with the corresponding combined total amount. There are some predefined entries available by funding state agency. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.
41. **Total Other Than Personal Services** - Report the sum of lines 21 through 40.

Equipment - Provider Paid

42. **Lease/Rental Vehicle** - Report lease and/or rental expense for vehicles used exclusively in program/site and/or program administration functions.
43. **Lease/Rental Equipment** - Report lease and/or rental expense for fixed, major moveable, adaptive and minor equipment located at the program administrative offices and/or program/site.
44. **Depreciation/Vehicle** - Not applicable for BOCES
45. **Depreciation/Equipment** - Not applicable for BOCES
46. **Interest/Vehicle** - Not applicable for BOCES
47. **Other (Equipment)** - Report any program/site or program administration equipment related expense not reported on lines 42 through 46. The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. Items with a cost in excess of \$1,000 must be listed in the

worksheet separately by description and amount. Items costing less than \$1,000 each may be aggregated and listed as “All Items < \$1,000 Each” with the corresponding combined total amount. There are some predefined entries available by funding state agency. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.

48. Total Equipment - Report the sum of lines 42 through 47.

Property - Provider Paid

49. Lease/Rental - Real Property - Report program/site and/or program administration rent or lease expense. If the lease itemizes charges for utilities and other expenses, report these items on the appropriate lines of Schedule CFR-1. If these other expenses are provided for in the lease agreement, and the detail of each item is not available, report them here.

50. Leasehold/Leasehold Improvements - Not applicable for BOCES

51. Depreciation/Building - Not applicable for BOCES

52. Depreciation - Building/Land Improvements - Not applicable for BOCES

53. Mortgage Interest - Not applicable for BOCES

54. Mortgage Expense - Not applicable for BOCES

55. Insurance-Property and Casualty - Report insurance costs related to property and equipment for the program/site and/or program administration. Do not report vehicle insurance on this line; report vehicle insurance on line 39.

56. Real Estate Taxes - Not applicable for BOCES

57. Interest on Capital Indebtedness - Not applicable for BOCES

58. Start-Up Expenses - Not applicable for BOCES

59. MCFFA/DASNY Interest Expense - Not applicable for BOCES

60. MCFFA/DASNY Administration Fees - Not applicable for BOCES

61. Maintenance in Lieu of Rent (*Operation & Maintenance*) - BOCES providers should report any Operations and Maintenance (O & M) transfers from the general fund (F9500.950) on this line. If personal services are included in the F9500.950 transfer, you may either include the amount on this line, or report the personal services on Schedule CFR-4 by position title, FTE, and amount paid.

62. Other (Property) - Report program/site and/or program administration property related expenses not reported on lines 49 through 61. The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. Items with a cost in excess of \$1,000 must be listed in the worksheet separately by description and amount. Items costing less than \$1,000 each may be aggregated and listed as "All Items < \$1,000 Each" with the corresponding combined total amount. There are some predefined entries available by funding state agency. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.

63. Total Property - Provider Paid - Report the sum of lines 49 through 62.

Totals

64. Total Operating Costs - Report the sum of lines 16, 17, 20 and 41.

65. Agency Administration Allocation - Report the portion of the agency administration transfer from the General Fund (F9500.920) listed on the SA-111 Schedule 8 to be allocated to each program. The total amount of agency administration allocated to all programs must equal the amount of agency administration reported on Schedule CFR-3 line 42.

66. Adjustments/Non-Allowable Costs - Report all program/site and program administration expenses included on Schedule CFR-1 that are considered non-allowable expenses per the Reimbursable Cost Manual (RCM) July 2014 edition. The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets. This Online HELP feature is available from any CFRS screen.

67. Total Program/Site Costs - Report the sum of lines 48, 63, 64 and 65 minus line 66.

Note: The Total Program/Site costs (line 67) plus Adjustments/Non-Allowable Costs (line 66) for each program code should reconcile with the total expenses reported on SA-111 Schedule 8 for each corresponding Special Education CO-SER(s).

68a. Other Than To/From Transportation Allocation (OPWDD Only - Informational) - Not applicable for BOCES

68b. To/From Transportation Allocation (OPWDD Only – Informational) - Not applicable for BOCES

68c. ICF/DD SED Contract Liability (OPWDD Only – Informational) - Not applicable for BOCES

68d. ICF/DD Day Services Liability (OPWDD Only – Informational) - Not applicable for BOCES

SECTION C: REVENUES

69. **Participant Fee (less SSI and SSA) - (*Non-Disabled Revenues*):** Report revenues for all non-disabled students enrolled in Preschool Integrated Special Class programs except revenues for Universal Pre-K (UPK) students. Report revenues for UPK students on line 87.
70. **SSI and SSA** - Not applicable for BOCES
71. **Home Relief** - Not applicable for BOCES
72. **Medicaid:** Report Medicaid revenue. Medicaid revenue should not be netted against related expenses. Report Medicaid transportation revenue on line 76.
73. **Medicare:** Report Medicare revenue.
74. **Other Third Parties** - Report revenue from managed care organizations, and third party payers such as Blue Cross, other insurance carriers, HMOs, etc.
75. **OPWDD Room and Board/NYS OPTS** - Not applicable for BOCES
76. **Transportation, Medicaid:** Report Medicaid transportation revenue to the program/site.
77. **Transportation, Other (Specify)** - Report transportation revenue not included on line 76.
78. **Sales: Contract Total** - Not applicable for BOCES
79. **Federal Grants (Detail Required)** - Report all federal grant revenue pursuant to Public Law 89-313, 94-142, and 99-457, or other Federal revenue sources which are administered by SED in support of the provider's programs. The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.
80. **State Grants (Detail Required)** - Report all state grant revenue in support of the provider's programs. The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.
81. **LTSE Income Total (OMH and OPWDD Only)** - Not applicable for BOCES
82. **Supplemental Nutrition Assistance Program (SNAP) commonly referred to as Food Stamps (OASAS, OPWDD)/Food Revenue (SED Only)** - Report all food revenue, i.e., National School Breakfast and Lunch Program Food Revenue.

83. **Gifts, Legacies, Bequests, Restricted Donations** - Report revenue from gifts, legacies, bequests, and restricted donations.
84. **Section 202/8/811 HUD Funds** - Not applicable for BOCES
85. **Interest/Dividend Income:** Report interest/dividend investment income.
86. **Prior Period Rate Adjustments** - Report reconciliation tuition rate adjustments for a prior period on this line. This may include any reconciliation tuition adjustments that are incorporated into a prospective tuition rate issued during the report year.
87. **Non-Disabled Universal Pre-Kindergarten Revenue** – The revenues from Universal Pre-K in conjunction with a special class in an integrated setting (SCIS) program (program 9160-9163 and 9165-9169).
88. **LDSS County Revenue (SED Only)** - Report tuition income received from local social service districts or municipalities for school age students (5 to 21 years old) enrolled in an approved special education program.
89. **4402 Revenue (School District In-State) (SED Only)** - Not applicable for BOCES
90. **Department of Health Chapter 428 Revenue** - Report income received from the public health district/municipality for eligible infants and toddlers for early intervention services.
91. **4408 Revenue (School District) (SED Only)** - Report tuition income received from school districts for school age students (5 to 21 years old) enrolled in an approved special education program for the months of July and August. Report any school age summer only 1:1 aide tuition income in the 1:1 aide program (program code 9230). Report any reconciliation tuition adjustments on line 86, Prior Period Rate Adjustments.
92. **4410 Revenue (Preschool) (SED Only)** - Report tuition income received from municipalities for preschool students (3 to 4 years old) enrolled in an approved special education program as well as revenue received for CPSE evaluations. Report any preschool 1:1 aide tuition income in the 1:1 aide program (program code 9230). **Note: Revenue for non-disabled students in preschool integrated class programs should be reported on line 69 - Participant Fee for private pay students, or line 94 – Other for Universal Pre-K students.** Report any reconciliation tuition rate/adjustment revenues on line 86, Prior Period Rate Adjustments.
93. **Net Deficit Funding (State and LGU Funding Only)** - Not applicable for BOCES
94. **Other (Revenue)** - Report all other revenue not reported above. The entry on this line is constructed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. Items with a cost in excess of \$1,000 must be listed in the worksheet separately by description and amount. Items costing less than \$1,000 each may be aggregated and listed as “All Items < \$1,000 Each” with the corresponding combined total amount. There are some predefined entries available by funding state agency.

When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.

95. Gross Revenue - Report the sum of lines 69 through 94.

GAAP Adjustment to Revenue

Lines 96-99. - Not applicable for BOCES

100. Net GAAP Revenues - Subtract line 99 from line 95.

Non-GAAP Adjustment to Revenue

Lines 101 - 106. - Not applicable for BOCES

107. Total Net Revenues: Report the result of line 95 minus line 106.

Note: The Total Net Revenues (line 107) for each program code should reconcile with the revenue reported on the SA-111 Schedule 8 for each corresponding Co-Ser(s).

V. INSTRUCTIONS FOR COMPLETING SCHEDULE CFR-3 “AGENCY ADMINISTRATION”:

Schedule CFR-3 is used to report and allocate the administrative costs that are not directly related to specific programs/sites, but are attributable to the overall operation of the agency. These include:

- costs for the overall direction of the organization;
- costs for general record-keeping, budget and fiscal management;
- costs for public relations (non fund-raising).

Typically, for BOCES providers, agency administration costs are administrative costs allocated from the General Fund to the Special Aid Fund. Agency administration costs do not include fundraising costs or special events costs.

Upon calculating total agency administrative costs, agency administrative costs must be allocated to the applicable program(s) on Schedule CFR-1 line 65.

Agency Name - Indicate the name of the organization that operates the program(s).

Agency Code - Indicate the five-digit code assigned to the organization that operates the program(s). (See Appendix E)

School Code - Indicate the twelve-digit code assigned to your organization.

Personal Services

1. **Total Personal Services** - Report the total amount paid for personal services as reported on Schedule CFR-4 Personal Services for Agency Administration (Position Title Codes 600 - 690)
2. **Vacation Leave Accruals (Agency Administration)** - Not applicable for BOCES

Fringe Benefits

Note: Service providers may use actual fringe benefits or a fringe benefit percentage.

3. **Mandated Fringe Benefits** – Report costs of all employer contributions mandated by Federal, State or local laws for agency administration staff salaries reported on line 1 of this schedule (i.e., Social Security, Workers compensation/Unemployment Insurance and New York State Disability).
4. **Non-Mandated Fringe Benefits** – Report costs of all employer contributions not mandated by Federal, State or local laws for agency administration staff salaries reported on line 1 of this schedule. Examples include: sick leave accruals (vested and funded), health insurance, dental insurance, major medical, combined insurance plan (single premium that includes health, dental, and/or major medical), life insurance, and pension fund or retirement.
5. **Total Fringe Benefits:** Report the sum of lines 3 and 4.

Other Than Personal Services

6. **Audit/Legal** - Not applicable for BOCES
7. **Utilities** - Not applicable for BOCES
8. **Telephone** - Not applicable for BOCES
9. **Repairs and Maintenance** - Not applicable for BOCES
10. **Office Supplies and Postage** - Not applicable for BOCES
11. **Organizational Expense** - Not applicable for BOCES
12. **Interest Working Capital:** Report the total interest on working capital included in the administration transfer from the general fund.
13. **Expensed Equipment** - Not applicable for BOCES
14. **Contracted Personal Services** - Not applicable for BOCES
15. **Staff Travel** - Not applicable for BOCES
16. **Insurance-General** - Not applicable for BOCES

17. **Other OTPS (*Remaining Administration Transfer*)** - Report the remaining administration costs allocated from the general fund to the special aid fund that are not already reported on lines 1, 5 and 12. The entry on this line is constructed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. Items with a cost in excess of \$1,000 must be listed in the worksheet separately by description and amount. Items costing less than \$1,000 each may be aggregated and listed as "All Items < \$1,000 Each" with the corresponding combined total amount. There are some predefined entries available. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets.
18. **Total OTPS:** Report the sum of lines 12 and 17.

Equipment - Provider Paid

Lines 19. - 25. - Not applicable for BOCES

Property - Provider Paid

Lines 26. - 37. - Not applicable for BOCES

38. **Parent Agency Administration Allocation** - Not applicable for BOCES
39. **County Wide Cost Allocation (LGU Only)** - Not applicable for BOCES
40. **Total Agency Administration** - Report the sum of lines 1, 5, and 18.
41. **Adjustments / Non-Allowable Costs** - Report all agency administration items included on this schedule which is considered non-allowable expenses per the July 2014 Reimbursable Cost Manual (RCM). The entry on this line is performed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet. When the worksheet is saved, the total is transferred onto this line. Please refer to the Online HELP feature in the CFRS software for more information on worksheets. This Online HELP feature is available from any CFRS screen.
42. **Net Agency Administration** - Report the result of line 40 minus line 41.

Calculation of Operating Costs (Agency-wide)

CFRS software sums the operating costs for DMH, SED, OCFS, DOH, and other Shared Agencies (lines 43 through 50) using Personal Services, Vacation Leave Accruals, Fringe Benefits, and OTPS, less raw materials (from Schedule CFR-1, line 64). Line 51 data is carried forward by the software from the operating costs reported on CFR-2, Column 9, lines 1 through 4.

43. **OASAS Subtotal** - Not applicable for BOCES

- 44. **OMH Subtotal** - Not applicable for BOCES
- 45. **OPWDD subtotal** - Not applicable for BOCES
- 46. **SED Subtotal** - Report the sum of Schedule CFR-1 Total Operating Costs (Personal Services, Fringe Benefits, and OTPS costs) for all SED programs. **The sum of Schedule CFR-1, line 64 for all SED programs is the amount to be entered on line 46.**
- 47. **DOH Subtotal** - Not applicable for BOCES
- 48. **OCFS Subtotal** - Not applicable for BOCES
- 49. **Shared Programs Subtotal:** Not applicable for BOCES
- 50. **Other Programs Subtotal:** Not applicable for BOCES
- 51. **Total Agency Operating Costs** - Report the sum of lines 43 through 50.

Calculation of Ratio Value Factor

- 52. **Net Agency Administration** – Enter the amount reported on Schedule CFR-3, line 42. This figure represents the total agency administration expenses less adjustments/non-allowable costs.
- 53. **Total Agency Operating Costs** – Enter the amount reported on Schedule CFR-3, line 49.
- 54. **Ratio Value Factor** – When using CFR software, the amount reported on this line is the result of line 50, divided by line 51, calculated to six decimal places.
Note: Private providers reporting on the CFR use the ratio value factor to allocate agency administration costs to their program/sites. BOCES providers are not required to allocate agency administration costs using the ratio value factor; therefore, the ratio value factor calculated on this line does not need to be employed on Schedule CFR-1 line 65 when allocating agency administration costs.

Allocation of Agency Administration Using Ratio Value

- 55. **OASAS Allocation** - Not applicable for BOCES.
- 56. **OMH Allocation** - Not applicable for BOCES.
- 57. **OPWDD Allocation** - Not applicable for BOCES.
- 58. **SED Allocation** - Enter the result of line 46 multiplied by line 52.
- 59. **DOH Allocation** – Not applicable for BOCES.

- 60. **OCFS Allocation** – Not applicable for BOCES.
- 61. **Shared Programs Allocation** - Not applicable for BOCES.
- 62. **Other Programs Allocation** - Not applicable for BOCES.
- 63. **Total Agency Administration** – The sum of lines 55 through 62.

Calculation of Adjusted Operating Costs (within State Agency)

For CFR private agencies only: This section of CFR-3 is used to distribute the State Agency shares of agency administrative costs calculated on lines 53 through 57 to the program/sites. If a State Agency has designated certain program types to be exempt from agency administration allocation and one or more of those program types are reported on the CFR, the operating costs reported on lines 43 through 47 will be adjusted. The operating costs for the additional exempt program type(s) will not be included when recalculating the adjusted operating costs for that State Agency on lines 60 through 64.

- 64. **OASAS Adjusted Subtotal** - Not applicable for BOCES.
- 65. **OMH Adjusted Subtotal** - Not applicable for BOCES.
- 66. **OPWDD Adjusted Subtotal** - Not applicable for BOCES.
- 67. **SED Adjusted Subtotal** – total from line 46. At this time, there are no specific SED programs exempt from ratio value. However, providers may alter the agency administration allocated to programs 9800 through 9810 on CFR-1 using the ratio-value waiver option in approved NYS CFRS software. Options include allocating an amount of agency administration other than that determined via ratio value or allocating no administration to these programs in which case zeroes would be entered on the agency administration line of the CFR-1. If agency administration for programs 9800 – 9810 is altered through the waiver option, the software will distribute the remaining agency administration via ratio value to the other SED programs.
- 68. **DOH Adjusted Subtotal** – Not applicable for BOCES.
- 69. **OCFS Adjusted Subtotal** – Not applicable for BOCES.
- 70. **Shared Programs Adjusted Subtotal** - Not applicable for BOCES.

Calculation of Adjusted Ratio Value Factor

- 71. **OASAS (Adjusted) Ratio Value Factor** - Not applicable for BOCES.
- 72. **OMH (Adjusted) Ratio Value Factor** - Not applicable for BOCES.
- 73. **OPWDD (Adjusted) Ratio Value Factor** - Not applicable for BOCES.

- 74. **SED (Adjusted) Ratio Value Factor** - Enter the result of line 58 divided by line 67, calculated to six decimal places. The resultant ratio value factor is transferred to the item description column of the SED Specific Schedule CFR-1, line 65.
- 75. **DOH (Adjusted) Ratio Value Factor** – Not applicable for BOCES.
- 76. **OCFS (Adjusted) Ratio Value Factor** – Not applicable for BOCES.
- 77. **Shared Programs (Adjusted) Ratio Value Factor** - Not applicable for BOCES.

VI. INSTRUCTIONS FOR COMPLETING SCHEDULE CFR-4 “PERSONAL SERVICES”:

This schedule is used to report the hours worked, amount paid and the full time equivalent (FTE) of employees by staffing category and position title. Individuals hired as employees of an agency (issued W-2) who are paid on a session or fee basis must be reported on this schedule.

Note: Direct care and clinical services that are provided by an independent contractor must be reported on Schedule CFR-4A (Contracted Direct Care and Clinical Personal Services).

If an employee works in multiple programs/sites, allocate the hours paid and amount paid to each program worked.

Employee FTE Data compiled on Schedule SED-4 column 2a should agree to CFR-4 employee FTE data for corresponding related service staff.

State Agency – BOCES providers please check the “SED” box.

Agency Name – Indicate the name of the organization that operated the program(s).

Agency Code – Indicate the five-digit code assigned to the organization that operated the program(s). (See Appendix E)

School Code - Indicate the twelve-digit code assigned to your organization.

Staffing Category – Check the appropriate staffing category. The staffing categories applicable to BOCES providers are **Program/Site-Program Administration** (Position Title Codes 100-599) and **Agency Administration** (Position Title Codes 600-699). Each of the two staffing categories must be reported on a separate page on Schedule CFR-4.

Staffing Category	Position Type	Position Title Codes
	Support	100 through 199
	Direct Care	200 through 299
	Clinical	300 through 399

Program/Site	Program Administration	500 through 599
*Agency Administration	Agency Administration	600 through 699

* **Note: All agency administration staff must be reported on a separate Schedule CFR-4 in a single column as program code 9999.**

Column Number – (Leave blank for agency administration) - CFRS Software automatically assigns column numbers within each of the funding state agency schedules. The columns are arranged in ascending order based on a hierarchy of program code, program code index and program/site identification number.

Program Code – For each program; indicate the program code (see Appendix B), consistent with the program code as reported on Schedule CFR-1.

Program Code Index - For an SED program, enter **YY** for July - June CFR reporting period (also includes school age summer).

Program/Site Identification Number – (Leave blank for agency administration Schedule 8C) - For BOCES providers, the program/site identification number should be the first 4 digits of the agency code followed by the 3-digit service code (Co-Ser) number applicable to each program column.

Program/Site Name - Indicate the name used by the organization to identify the program/site.

Program/Site Address (Line One and Line Two) - Indicate the number, street, city, and zip code of the program/site. If the program/site does not have its own address, use the address of the organization’s headquarters.

County Code - Indicate the county where the program/site is located using the codes in Appendix C

Position Title Code and Position Title – Report positions based on the position title codes and position titles listed under **Appendix D**, Position Title Codes. The position title codes **101-590** listed in Appendix D are to be used for reporting the **Program/Site** positions. The position title codes **600-690** listed in Appendix D are to be used for reporting the **Agency Administration** positions. Individuals with the same position title code and standard work week must be aggregated and reported on one line on this schedule. An employee who performs job duties that relate to more than one position title must be split and reported between the appropriate position title codes. Positions listed may not be considered reimbursable in all cases. Refer to the July 2014 RCM to determine if the cost is allowable.

Standard Work Week - Report the standard number of hours worked per week for a full time employee (i.e. 35, 37.5, 40) by indicating an "X" in the appropriate box. If the standard work week differs from the choices given, indicate the standard work week hours in the “Other” column. **For Non-Direct Care positions, the standard work week cannot be less than 35 hours per week. For Direct Care positions, the standard work week cannot be less than 32.5 hours per week. For all positions, the standard work week cannot exceed 45 hours per week.**

Note: CFR software does not allow the Standard Work Week field to be less than 35 hours. When reporting a Direct Care position title that has a standard work week of 32.5 hours, enter 35 as the standard work week hours in the software field. This will result in a slightly lower calculated FTE than would result from using 32.5 hours as the standard work week. This will not have an impact on the tuition rate-setting process, as Direct Care positions are not subject to a median salary parameter.

When reporting a part-time employee, report the number of standard work week hours the employee would be required to work if they were a full time employee. For example, if a social worker regularly works 20 hours, but would be required to work 37.5 hours as a full time employee, the standard work week is 37.5hours, not 20 hours per week. The fact that the employee is part-time will be reflected in the calculated FTE.

FTE (Full Time Equivalent) - Report the employee FTE to three decimal places.

If a BOCES provider accounts for the hours paid for each employee throughout the year, then the formula for calculating the **employee FTE is: Hours Paid divided by the product of the standard work week times 52 weeks**. If BOCES accounts for employee hours paid, the next section does not apply; skip ahead to 'Hours Paid'.

If a BOCES provider **does not** account for the hours paid for each employee, then use the following formula to calculate **employee FTE**:

$$\text{Employee FTE} = \text{Number of Staff} \times \frac{\text{Number of Weeks Program Operated}}{52 \text{ weeks}}$$

Number of Weeks Program Operated: Include all weeks between the program's starting date and ending date for both the summer and/or school year session. Include the beginning and ending week of the session in the total number of weeks if there are at least 3 session days in that week.

Number of Staff: This figure is not entered on this schedule, but the computation is required as part of the formula to calculate the FTE. It is calculated for each individual as follows:

$$\frac{\text{\# of Weeks Employed}}{\text{\# of Weeks Program Operated}} \times \frac{\text{\# of Hours Employed Per Week}}{\text{Standard Work Week}}$$

1. A **full time** individual employed for the total program duration is equal to 1.000.
2. An **individual employed for less than the total program duration** must be prorated based on the following ratio: *the number of weeks employed/number of weeks program in session*.
3. A **part-time** employee (less than 32.5 or 35 hours per week) must be prorated based on the following ratio: *the number of hours employed per week/full time standard work week hours for that position title*.

Examples of Number of Staff calculations:

Employee A: Hours employed per week - 35
Standard work week hours - 35
of weeks employed in program - 46
of weeks program operated - 46
Number of Staff - $35/35 \times 46/46 = 1.000$

Employee B: Hours employed per week - 17.5
Standard work week hours - 35
of weeks employed in program - 40
of weeks program operated - 40
Number of Staff - $17.5/35 \times 40/40 = .500$

Employee C: Hours employed per week - 37.5
Standard work week hours - 37.5
of weeks employed in program - 30
of weeks program operated - 40
Number of Staff - $37.5/37.5 \times 30/40 = .750$

Employee D: Hours employed per week - 40
Standard work week hours - 40
of weeks employed in program - 6
of weeks program operated - 6
Number of Staff - $40/40 \times 6/6 = 1.000$

Note: For employees paid on a session or fee basis, the hours employed per week will be calculated as an average figure, dividing the total number of session hours by the number of weeks employed in program. Also, the hours paid per week must include any overtime hours.

Examples of FTE calculations (using Number of Staff examples from above):

Employee A: $1.00 \times 46/52 = .885$ FTE
Employee B: $.50 \times 40/52 = .385$ FTE
Employee C: $.75 \times 40/52 = .577$ FTE
Employee D: $1.00 \times 6/52 = .115$ FTE

Hours Paid – The actual number of hours paid to all employees within the position title for the same standard work week for the reporting period. This total must include all overtime. All overtime hours must be reported as straight time hours

Although not all BOCES providers may account for the hours paid of their employees, it is necessary to input hours paid when using the CFR software, as this figure is used in the software’s computation of

the employee FTE. If hours paid are not accounted for by a BOCES, to compute hours paid for each employee, please use the following formula:

$$\text{Hours Paid} = \text{Standard Work Week} \times 52 \times \text{FTE}$$

Report the computed hours paid to the nearest whole number for each position title.

In addition, hours paid for SEIT providers must include hours spent providing both direct and/or indirect special education itinerant services in accordance with the student's individualized education program and also the hours spent on other functions required as part of the delivery of SEIT such as coordination of service when both special education itinerant services and related services are provided to a student; preparation for and attendance at committee on preschool special education meetings; conferencing with the student's parents; classroom observation; and/or travel for the express purposes of providing direct and/or indirect special education services.

Please note: For BOCES who do not account for hours paid - you must calculate the FTE for each position using the FTE formula stated above *before* calculating the hours paid, as the FTE is needed for the computation of the hours paid.

Amount Paid - Report the actual amount earned by/paid to each employee as charged to the Co-Ser within the Special Aid Fund for each position title.

Total FTE - Report the total FTE's for each program/site.

Total Amount Paid - Report the total amount paid for each program/site.

Note: The program/site - program administration total for each program must equal the amount reported on Schedule CFR-1, line 16. The agency administration total must equal the amount reported on Schedule CFR-3, line 1.

VII. INSTRUCTIONS FOR COMPLETING SCHEDULE CFR-4A “CONTRACTED DIRECT CARE AND CLINICAL PERSONAL SERVICES”:

This schedule is used to report the amount paid to individuals who have contracted with the service provider to provide direct care and/or clinical personal services. All other contracted services must be reported on the appropriate expense line of Schedule CFR-1 "Program/Site Data" or Schedule CFR-3 "Agency Administration".

Employee hours paid data compiled on Schedule SED-4 column 2b should agree to CFR-4A hours paid data for corresponding related service staff.

State Agency - BOCES providers please select the "SED" box.

Agency Name - Report the name of the organization that operated the program(s).

Agency Code - Report the five-digit code assigned to the organization that operated the program(s). (See Appendix E).

School Code - Report the twelve-digit code assigned to your organization.

Column Number - CFRS Software automatically assigns column numbers within each of the funding state agency schedules. The columns are arranged in ascending order based on a hierarchy of program code, program code index and program/site identification number.

Program Code – For each program, indicate the program code (see Appendix B) consistent with the program code as shown on Schedule CFR-1.

Program Code Index - For an SED program, enter YY for July - June CFR reporting period (also includes school age summer).

Program/Site Identification Number - Indicate the program/site identification number.

For BOCES providers, the program/site identification number is the first 4 digits of the agency code followed by the 3-digit service code (Co-Ser) number applicable to each program column.

Program/Site Name - Indicate the name used by the organization to identify the program/site.

Program/Site Address (Line One and Line Two) - Indicate the number, street, city, and zip code of the program/site. If the program/site does not have its own address, use the address of the organization's headquarters.

County Code - Indicate the county where the program/site is located using the codes in Appendix C

Position Title Code and Position Title – Indicate the appropriate position title code and position title by using the codes and titles listed in Appendix D (codes 200-399 only). Multiple contracted individuals with the same position title code must be combined and reported on the same line.

Hours Paid - If hours paid are available, please provide for each position title; otherwise leave blank.

Amount Paid - Report the actual amount paid in total for each contracted position (Direct Care and Clinical) for the reporting period.

Total Amount Paid - Indicate the total amount paid for each program.

Note: The total amount paid for each program must reconcile to the amount reported on Schedule 8A/CFR-1, line 35 for each program.

VIII. INSTRUCTIONS FOR COMPLETING SCHEDULE SED-1 “PROGRAM AND ENROLLMENT DATA”:

This schedule is to be completed by all service providers requiring tuition rates from the New York State Education Department for school age (5-21 years old) and preschool (3-4 years old) programs. This schedule should also be completed by service providers operating Early Intervention (0-2 year olds) programs.

General rules

A. The following applies for Program Codes 9000-9014, 9100-9109, 9115-9119, 9160-9163, 9164, 9165-9169, 9230, 9300-9343:

1. "Enrollment" means the student is physically present or legally absent from the special education program in accordance with §175.6 of the Commissioner's Regulations.
2. A student is considered enrolled and in attendance for reimbursement purposes until the student is discharged in accordance with the Education Commissioner's Regulations. All schools must maintain written evidence of reasons for each student's absences, of attempts to reduce extended absences of students for reasons other than illness, and of attempts to determine the reason for all absences when the reasons for all absences cannot be obtained.
3. A full-day, full-time student is counted as 1.000 FTE unless admitted after the start of the school year or discharged before the end of the school year, or determined to be illegally absent.
4. Full-time equivalent (FTE) enrollment for students enrolled less than the maximum period of enrollment is calculated as follows:
 - Determine the number of weeks in the full-time programs (10-month school year and 2-month summer segments are calculated separately.) The beginning and ending months are analyzed separately to determine the number of weeks. Each of the full months in between the beginning and ending months are counted as four weeks each. Include vacations and holidays when calculating the number of weeks in the full-time program and, when applicable, as weeks enrolled.
 - Determine the number of weeks the child is actually enrolled. Three consecutive days of enrollment within the same week shall be equivalent to one week of

attendance, provided that no more than four weeks of enrollment are counted in any calendar month. The beginning and ending months are analyzed separately to determine the number of weeks. Each of the full months in between the beginning and ending months are counted as four weeks each for a school year program. When counting the total number of weeks in a summer program, you may count more than four weeks in a month.

- Determine the FTE to three decimal places (do not round) by using the result of the actual number of weeks a student is enrolled divided by the number of weeks in the full-time program.

B. 1:1 Aide/Nurse FTE Enrollment

FTE enrollment for preschool and school age students receiving 1:1 aide/nurse services must be reported in a separate column (program code 9230) on this schedule. If a student receives 1:1 services for less than the full day or for less than the full enrollment period, the student FTE reported in the 1:1 aide/nurse column (9230) must be prorated accordingly. In addition, the enrollment for a 1:1 student’s special education should continue to be reported in the special education program code in addition to the 1:1 aide/nurse FTE enrollment reported in the 1:1 aide/nurse program (9230).

When calculating the student FTE enrollment for students who share a 1:1 aide/nurse, each student’s individual FTE should be prorated so that in total the student FTE for a shared one-to-one aide never exceeds a 1.00 FTE. For example, two preschool students receiving 1:1 aide services for the entire 10-month period who share the same 1:1 aide 50% each would each be a .500 FTE for a total of 1.000 FTE in the 1:1 Aide school year column.

C. Preschool Partial Day Programs:

A base of five hours per day is used for reporting enrollment for all Preschool Centerbased programs. The student FTE enrollment for any Preschool center based program that operates less than five hours a day must be prorated using the ratio: *Program Hours Per Day/5.0 Hours Per Day*. For example: 2.5 hours/5.0 hours = .500 FTE.

Example: Below are the total enrollment FTE calculations for 25 students enrolled for the full 10-month school year using various program lengths:

Program Length	Fractional Equivalent for 1.000 FTE		Non-Prorated FTE Enrollment		Prorated Student FTE Enrollment to be Reported
5 hours/day	5/5	X	25.000	=	25.000
4 hours/day	4/5	X	25.000	=	20.000
3 hours/day	3/5	X	25.000	=	15.000
2 1/2 hours/day	2.5	X	25.000	=	12.500
5 1/2 hours/day	5/5*	X	25.000	=	25.000

* Programs in excess of 5.0 hours/day will be considered 5.0 hours/day for student FTE calculation purposes.

D. For Preschool Special Education Itinerant Teacher (SEIT) (9135-9149) and Preschool Related Service (9200) Programs:

FTE enrollment should be reported in accordance with §175.6(a) (1) and (2) of the Commissioner's Regulations.

Applying these regulations to a SEIT program would require a SEIT student's FTE enrollment be counted for reporting purposes only as a 1.0 FTE when the student is enrolled for the entire 10 month program or 1.0 when enrolled for the entire July-August program. The FTE is prorated for both the 10 month and 2 month programs if the student is enrolled for less than the full 10 month instructional school calendar or less than the full July-August instructional calendar.

For example SEIT Instructional Calendar for 10 mos. is 38 weeks and students is enrolled for 20 weeks (student started in Feb. vs. Sept.) the FTE would be 20/38 or .52 FTE. This enrollment information is not used in direct calculation of SEIT half hour rates.

E. For Preschool Evaluations (9190 - 9194), Section 611 LEA Sub-allocation Grant (9805), Section 619 LEA Sub-allocation Grant (9806), and Smart School/Instructional Technology NYS Grant (9811):

The "Program and Enrollment Data" schedule does not apply.

Line-by-Line Instructions for Completing "Schedule SED-1 Program and Enrollment Data"

1. **Enrollment by Funding Source (Lines 100-107)** - Enter the full-time-equivalent enrollment by funding source. These enrollment figures should correspond to the tuition revenues reported on lines 69 and/or 88-92, and/or 94 of Schedule CFR-1.
2. **Total by Funding Source (Line 108):** Enter the sum of lines 102-107. Do not include line 100 or 101.
3. **Number of Days in Session (Line 109):** Enter the number of days in the full-time program during the September -June school year (preschool only), and the number of days in the full-time program during the preceding July and August if the program was approved to operate during that period. The September-June school year must be at least 180 days. The July-August summer program must report a minimum of 30 days.
4. **Care Days (Line 110):** Multiply the total full-time-equivalent program enrollment by funding source (from line 108) by the number of session days (from line 109) to calculate the total number of care days. Round the care days to the nearest whole number. Calculate the number of care days separately for the school year and for the summer.

5. **Mandated Special Education Itinerant Service (also known as SEIT) Units of Service (Line 111):** Report billable units (½ hour units) on the basis of enrollment as mandated by student’s IEPs per Section 200.9(ix)(d) of the Regulations of the Commissioner of Education. This information was previously reported on Schedule CFR-1, line 13. The entry on line 111 is constructed through the use of a worksheet in the CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet and include for each county that is billed by the SEIT provider: the county name and the IEP mandated ½ hour SEIT units of service for that county. When the worksheet is saved, the totals are transferred onto line 111. For example:

	<u>Summer</u>	<u>School Year</u>
County Name:	Dutchess	Dutchess
Mandated Units of Service:	167	835
County Name:	Putnam	Putnam
Mandated Units of Service:	205	1,047
County Name:	Westchester	Westchester
Mandated Units of Service:	1,462	7,310

6. **Actual Special Education Itinerant Service (also known as SEIT) Units Provided (Line 115):** Enter the actual IEP mandated ½ hour units of service provided for all Special Education Itinerant Services. The entries for line 115 are constructed through the use of a worksheet in CFRS software. Items to be included on this line must be entered with the clarifying detail in the worksheet and include for each county that is billed by the SEIT provider: the county name and the actual IEP mandated ½ hour SEIT units of service provided for that county. When the worksheet is saved, the totals are transferred onto line 115. For example:

	<u>Summer</u>	<u>School Year</u>
County Name:	Dutchess	Dutchess
Actual SEIS or SEIT Units Provided:	162	798
County Name:	Putnam	Putnam
Actual SEIS or SEIT Units Provided:	194	985
County Name:	Westchester	Westchester
Actual SEIS or SEIT Units Provided:	1,294	6,884

The following section applies only to preschool and school age programs. Do not complete this section for infant, evaluation, 1:1 aide or SEIT programs.

7. **Approved Classroom Ratio (Lines 201, 301, 401, 501, 601, 701, 801, 901):** For each program, enter the approved classroom ratio from your SED program approval letter on line 201. For example, if 6 disabled students were approved to be in a classroom with one special education teacher and one teacher aide, the approved classroom ratio is 6:1:1.

Note: For a Preschool Special Class in an Integrated Setting program, do not include non-disabled students or staff in your ratio. Example: A program approved as 15:1:2 with 1 special education teacher and 1 paraprofessional for 7 disabled students and 1 paraprofessional for 8 non-disabled students, report the ratio as 7:1:1 for the disabled student-to-staff ratio.

Enter the ratios for both the summer and the school year. Only enter ratios that are approved and currently operating. If your program has multiple approved classroom ratios that operated in the summer and/or school year, use lines 301 through 901 to report these additional ratios. Also, do not include 1:1 teacher aides in your ratio.

8. **Number of Classrooms (Lines 202, 302, 402, 502, 602, 702, 802, 902):** For each program, enter the weighted average number of classrooms operated for the approved classroom ratio reported on line 202. Calculate the weighted average number of classrooms separately for the summer and school year. If your program has multiple approved classroom ratios, report the weighted average number of classrooms for those additional ratios on lines 302 through 902.

Full Day programs (Preschool and School Age): If you operated 3 full day classrooms for 10 months and 2 full day classrooms for 4 months at a ratio of 6:1:1, the weighted average number of classrooms for that ratio for the 10 month period would be $(3 \times 10) + (2 \times 4) / 10 = 3.8$.

Half Day programs (Preschool and School age): Half-Day programs that operated in a classroom used only in the A.M. or P.M. are counted as one classroom. Half-Day programs that operated in a classroom used both in the A.M. and P.M. are counted as two classrooms. If a classroom was used for an A.M. session in one program and a P.M. session in a different program, the classroom would be counted as 1.0 for each program. Examples:

- a) 2 half-day preschool (9115) classrooms operated in the A.M. for 10 months and 1 half-day (9115) classroom operated in the A.M. for 7 months during the school year, at a ratio of 12:1:2. The classrooms were vacant in the P.M. The 10 month weighted average number of classrooms for the ratio is $((2 \times 10) + (1 \times 7)) / 10 = 2.7$.
- b) 4 half-day preschool (9115) classrooms operated in the A.M. for 10 months at a ratio of 6:1:1 and 2 half-day integrated classrooms (9165) operated in the P.M. for 10 months and 1 half-day integrated classroom (9165) operated for 4 months in the P.M. at a ratio of 6:1:1. The 10 month weighted average number of 6:1:1 classrooms for each program is:

$$\text{Program 9115: } (4 \times 10) / 10 = 4.00$$

$$\text{Program 9165: } ((2 \times 10) + (1 \times 4)) / 10 = 2.4$$

9. **Student FTE (Lines 203, 303, 403, 503, 603, 703, 803, 903):** For each program, enter the

total full-time-equivalent student enrollment for the number of classrooms reported on line 203. Enter the student FTE for the summer and school year as applicable in the appropriate column. If your program has multiple approved classroom ratios, report the student FTE for those additional classroom ratios on lines 303 through 903. Do not include non-disabled student FTEs.

10. **Total Student FTE (Line 999):** For each program, enter the sum of lines 203, 303, 403, 503, 603, 703, 803, and 903 on line 999. Line 999 should reconcile to line 108 for the summer and school year columns for each program operated.

IX. INSTRUCTIONS FOR COMPLETING SCHEDULE SED-4 “RELATED SERVICE CAPACITY, NEED AND PRODUCTIVITY”:

Notes: Schedules SED-2 and SED-3, which were previously included in past versions of the CFR, are not applicable. There is no filing requirement for SED-2 and SED-3.

The RS-2 Schedule is available on the Rate-Setting Unit’s Website at www.oms.nysed.gov/rsu/Manuals_Forms/Forms/RealtedServices/home.html. The RS-2 Schedule is not required to be submitted, but data from this schedule is used in the completion of SED-4.

This schedule is used to determine the capacity, need and productivity of related services for school age and preschool special education programs.

The following programs (codes) are to be reported. Report each program on a separate schedule:

- 9000-9009 - School Age-Special Class
- 9010-9014 - School Age-Special Class-Half Day
- 9100-9109 - Preschool-Special Class over 2.5 hours per day
- 9115-9119 - Preschool-Special Class 2.5 hours per day
- 9160-9163 - Preschool-Integrated Special Class over 2.5 hours per day
- 9165-9169 - Preschool-Integrated Special Class 2.5 hours per day
- 9200 - Preschool-Related Services Only

Refer to Appendix B for a definition of each program.

Column 1 “Related Service”

Related services are defined in Section 200.1 (gg) of the Commissioner’s Regulations. Report data for speech therapy, physical therapy, occupational therapy, counseling and skilled nursing on the appropriate lines. Report data for all other related services on the line labeled “Other”.

Column 2a “Annual Related Service Employee FTE Allocated to Program”

Report the related service employee FTE allocated to the program during the specific time period. Typically, full-time staff should be counted as follows: 12 month staff = .885 FTE; 10 month staff = .770 FTE, 2month staff = .115 FTE. Part-time staff should be prorated accordingly. For example,

three full-time speech therapists at .885 FTE each plus one half-time speech therapist at .442 FTE (all employed for 12 months), would be counted as 3.097 FTE speech therapists. The FTE for each related service discipline must reconcile to the FTE reported on Schedule CFR-4. Please refer to the instructions for completing Schedule CFR-4 Personal Services for detailed instructions on calculating employee FTEs.

Column 2b “Annual Contracted Related Service Hours”

Report the annual hours for each contracted related service type, if applicable. The annual hours for each related service discipline must reconcile to the “hours paid” reported on Schedule CFR-4A.

Column 3 “Annual Capacity of Related Service Time in Half-Hour Units”

Multiply the FTE in column 2a by 2600 (52 weeks x 25 program hours per week x 2). Add to that the result of the total number of contracted related service hours during the period (from column 2b) x 2. For preschool related service only programs (program code 9200), use an employee standard work week of 35 hours in place of the 25 program hours per week in the above formula.

Column 4a “Annual IEP Mandated Individual Related Service Sessions on All Students’ IEPs”

From schedule RS-2, column 6a; total by therapy type. Report sessions in half-hour blocks of time. Prorate as needed (e.g. 45 minutes = 1.5 sessions, etc.).

Column 4b “Annual IEP Mandated Group Related Service Sessions on All Students’ IEPs”

From schedule RS-2, column 6b; total by therapy type. Report sessions in half-hour blocks of time. Prorate as needed (e.g. 45 minutes = 1.5 sessions, etc.).

Column 4c “Average Number of Students Served in Group”

For each related service, report the average number of students served in a group. This will be an estimate based on the provider’s experience during the year.

Column 4d “Annual Group Sessions”

Report the result of column 4b divided by column 4c.

Column 4e “Annual IEP Mandated Half-Hour Related Service Sessions”

Report the sum of columns 4a and 4d.

Column 5 “Annual IEP Mandated Half-Hour Related Service Sessions Provided”

Report the number of direct service sessions. Direct service is considered to be contact time with the student and does not include pre-session planning or post-session write-up time. Calculate as follows: [Schedule RS-2 column 7a total + (RS-2 column 7b total/SED-4 column 4c)].

Column 6 “Percentage of Time Related Service Sessions Provided”

Report the result of column 5 divided by column 3.

X. INSTRUCTIONS FOR COMPLETING SCHEDULE COVID-19S INFORMATIONAL SCHEDULE

The COVID-19S schedule is required in all CFR submission types and is completed independent of the other CFR schedules. The information reported on the COVID-19S schedule does not transfer to any other CFR schedule.

These are general instructions for completing the COVID-19S schedule for SED programs only. For general instructions for completing the COVID-19 schedule for OMH, OASAS, OPWDD, DOH or OCFS, please refer to Section 33B of the CFR Manual.

A supplemental schedule has been developed to help understand the economic impact of the COVID-19 pandemic on each provider. The COVID-19S schedule is used to report the net changes in program administration and program/site expenses and revenues in the reporting period, on a program/site specific basis, that are specifically related to COVID-19. The information reported on this schedule should be the net changes in expenses and revenues determined by the provider to be related to COVID-19.

A COVID-19 expense/revenue is considered to be any expense or revenue that is, above and beyond normal course of business, and is strictly related to COVID-19. COVID-19 related expenses and revenue may include, but are not limited to, the following:

Expenses:

- Personal Protective Equipment (other than ordinary equipment)
- Personal Services in excess of normal expenses (overtime, hazard pay, using staff in other programs, etc.) The personal services expense of program staff that were assigned to support activities necessitated by the COVID-19 pandemic can be reported on this schedule.
- Cleaning services and machines (other than ordinary services and machines)
- Telecommunication expenses (other than ordinary expenses)
- Storage Facilities/Security measures
- Interest on debt incurred to maintain staff
- FEMA costs for Emergency Medical Services, such as:
 - emergency medical care for COVID-19 patients,
 - Emergency Operation Centers (EOCs),
 - facilities,
 - meals and meal supplies for employees involved in an emergency response to the COVID-19 pandemic (restriction apply- see Section VI, Category B of FEMA's Public Assistance Program and Policy Guide FP 104-009-2: <https://www.fema.gov/medialibrary/assets/documents/111781>),
 - medical supplies,
 - medical testing and vaccination, and
 - training.

Revenues:

- CARES Act loans that have been forgiven and recorded as revenue or as a gain on the extinguishment of debt (Paycheck Protection Program, Economic Injury Disaster Loan Program, etc.)
- Program rate changes related to COVID-19 (enhanced rates, retainer day billings, flexible billing that

would not normally qualify as a service)

- COVID-19 grants awarded by:
 - Federal, State and//or other governmental agencies (e.g. FEMA grants and FCC Telehealth grants)
 - private or public foundations
- Donations received in response to the COVID-19 pandemic
- COVID-19 federal tax credits that are recorded as revenue

Program/site and program administration expenses are directly associated with the provision of services in the program/site. (i.e., personal services and fringe benefits of the Program Director, Billing Personnel, Program Coordinator, etc.).

Report all expenses incurred and revenues earned for the reporting period on the appropriate lines. If there is no applicable line, report the expense and revenue on the applicable "Other" line. If any "Other" line items are completed, report the detail in the software for any individual item in excess of \$1,000 for a given program/site. In some instances, pre-defined choices will be presented.

The COVID-19S schedule will not be subjected to certification but should be reviewed for reasonableness.

For instructions on how to complete specific lines on schedule COVID-19S, please see Section 33C of the CFR Manual, which can be found here: http://www.oms.nysed.gov/rsu/Manuals_Forms/Manuals/CFRManual/documents/FINALCAL2020MANUAL.pdf

XI. APPENDICES

APPENDIX A: SOFTWARE VENDORS

The 2019-20 SA-111 Supplemental Schedules will be prepared using Consolidated Fiscal Report (CFR) software, which is offered by the following vendor:

NYS Office of Mental Health

Information Support Office

44 Holland Ave

Albany, NY 12229

Phone: 1-800-HELPNYS

<http://www.omh.ny.gov/omhweb/CFRSWeb/default.asp>

APPENDIX B: PROGRAM CODES

The following is a description for each program type:

School Age Programs (Ages 5-21)

9000-9009 - Summer School Age - Special Class

A class consisting of school age students with the same disabilities or with differing disabilities who have been grouped together because of similar individual needs, for the purpose of being provided a special education program, as defined in Sections 200.1(jj) and 200.6(g) of the Commissioner's Regulations.

9010-9014 - Summer School Age - Special Class Half Day

A half day class consisting of school age students with the same disabilities or with differing disabilities who have been grouped together because of similar individual needs for the purpose of being provided a special education program, as defined in Sections 200.1(jj) and 200.6(g) of the Commissioner's Regulations.

Preschool Programs (Ages 3 - 4)

9100-9109 - Preschool - Special Class Over 2.5 Hours Per Day

A class, approved to operate greater than 2.5 hours per day, consisting of preschool students with the same disabilities or with differing disabilities who have been grouped together because of similar individual needs for the purpose of being provided a special education program, as defined in Sections 200.1(jj) and 200.16(h)(3)(iii) of the Commissioner's Regulations.

9115-9119 - Preschool - Special Class 2.5 Hours per Day

A class, approved to operate 2.5 hours per day, consisting of preschool students with the same disabilities or with differing disabilities who have been grouped together because of similar individual needs for the purpose of being provided a special education program, as defined in Sections 200.1(jj) and 200.16(h)(3)(iii) of the Commissioner's Regulations.

9135-9139 - Preschool-Special Education Itinerant Teacher (SEIT) Services for Individual Sessions

Services provided to preschool students by a certified special education teacher on an itinerant basis at a site initially determined by the Board of Education, including but not limited to, an approved pre-kindergarten or head start program, the student's home, a hospital, a state facility, or a child care location, as defined in Section 200.16(h)(3)(ii) of the Commissioner's Regulations.

9140-9144 - Preschool-Special Education Itinerant Teacher (SEIT) Services for Group Sessions of 2 Students

Group services, in which 2 students are present, provided to preschool students by a certified special education teacher on an itinerant basis at a site initially determined by the Board of Education, including but not limited to, an approved pre-kindergarten or head start program, the student's home, a hospital, a

state facility, or a child care location, as defined in Section 200.16(h)(3)(ii) of the Commissioner's Regulations.

9145-9149 – Preschool-Special Education Itinerant Teacher (SEIT) Services for Group Sessions of 3 or More Students

Group services, in which 3 or more students are present, provided to preschool students by a certified special education teacher on an itinerant basis at a site initially determined by the Board of Education, including but not limited to, an approved pre-kindergarten or head start program, the student's home, a hospital, a state facility, or a child care location, as defined in Section 200.16(h)(3)(ii) of the Commissioner's Regulations.

9160-9163 - Preschool-Integrated Special Class Over 2.5 Hours Per Day

A program, approved to operate greater than 2.5 hours per day, employing a special education teacher and at least one para-professional in a classroom consisting of both disabled and non-disabled preschool students or separate non-disabled and disabled classes housed in the same physical space, as defined in Section 200.9(f)(2)(x) of the Commissioner's Regulations.

9164 - Day Care Costs in Excess of the Integrated Program

Report all costs of day care in excess of the approved duration of your Preschool Integrated program. For example, if the Day Care program operates from 7 a.m. to 5 p.m. (10 hours) and the Special Education Integrated program operates from 9 a.m. to 2 p.m. (5 hours), report the data during the non-instructional hours of Day Care operation (7-9 a.m.; 2-5 p.m.), as well as data for any children in Day Care during instructional hours, in Program Code 9164.

9165-9169 Preschool - Integrated Special Class 2.5 Hours Per Day

A program, approved to operate 2.5 hours per day, employing a special education teacher and at least one paraprofessional in a classroom consisting of both disabled and non-disabled preschool students or separate non-disabled and disabled classes housed in the same physical space, as defined in Section 200.9(f)(2)(x) of the Commissioner's Regulations.

9190-9194 - Preschool-Evaluations

Includes physical examinations, psychological examinations, social history and other suitable examinations and evaluations required to properly classify and place a child with a disability pursuant to Section 4410 of the Education Law and as defined in Section 200.16(c)(1) of the Commissioner's Regulations. Only actual costs incurred for mandated initial CPSE evaluations for 3 and 4 years old students should be reported. Indirect costs associated with the evaluations must also be reported. Data reported under the evaluation program code should not be reported in any other program code.

9200 - Preschool-Related Services

Related services provided to preschool students by an appropriately certified or licensed individual in conjunction with a program at a facility that has been approved or licensed by an appropriate governmental agency including, but not limited to, pre-kindergarten, day care and Head Start programs. Such services can include, but are not limited to, speech therapy, physical therapy, occupational therapy and counseling. Professionals providing such services must be appropriately certified or licensed and must be included on the municipality's listing of related service providers. The related service must be

provided at the program site unless the use of non-transportable special equipment is required to provide the related service in accordance with the child's Individualized Education Program (IEP). The site at which the related service is to be provided must be included on the IEP. (Refer to Sections 200.1(gg), 200.6(e) and 200.16(h)(3)(I) of the Commissioner's Regulations).

Early Intervention Programs (Ages 0-2)

9300 – Early Intervention Program All Services

This program code should only be used for Agencies that cannot break out NYS Early Intervention Program (Part C IDEA) revenue and expenses by the new program codes (9301 – 9343). Agencies must choose to use just 9300 for all NYS Early Intervention Program (Part C IDEA) revenue and expenses reporting or choose to break out NYS Early Intervention Program (Part C IDEA) revenue and expenses by the new rate codes (9301 – 9343).

Units of Service: Not applicable

9301 – Early Intervention Program Initial Service Coordination

Report all revenue and expenses relating to delivering service initial coordination service for the NYS Early Intervention Program (Part C IDEA). Initial Service coordination is service coordination provided on or before the initial IFSP meeting for eligible children and all service coordination services for children found ineligible or children referred to the program a who did not go on to receive an initial IFSP.

Units of Service: Billable 15 minute increments

9302 – Early Intervention Program Ongoing Service Coordination

Report all revenue and expenses relating to delivering ongoing service coordination service for the NYS

Early Intervention Program (Part C IDEA). Ongoing Service coordination is service coordination provided after the initial IFSP meeting for eligible children.

Units of Service: billable 15 minute increments

9310 – Early Intervention Program Screenings

Report all revenue and expenses relating to delivering screening services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per screening

9311 – Early Intervention Program Core Evaluations

Report all revenue and expenses relating to delivering Core Evaluation services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per Core Evaluation

9312 – Early Intervention Program Physician Evaluations

Report all revenue and expenses relating to delivering Physician Evaluation services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per Physician Evaluation

9313 – Early Intervention Program Supplemental Evaluations

Report all revenue and expenses relating to delivering Supplemental Evaluation services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per Supplemental Evaluation

9320 – Early Intervention Program Home/Community Based Individual Collateral Services

Report all revenue and expenses relating to delivering Home/Community Individual Collateral services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per service visit

9330 – Early Intervention Program Office/Facility Based Individual Collateral Services

Report all revenue and expenses relating to delivering Office/Facility Based Individual Collateral services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per service visit

9341 – Early Intervention Program Group Developmental Intervention Services

Report all revenue and expenses relating to delivering Group Developmental Intervention Services for the NYS Early Intervention Program (Part C IDEA). These services are authorized and billed as Basic and Enhanced group services either with or without the use of a 1:1 Aide.

Units of Service: per Group service

9342 – Early Intervention Parent/Child Group Services

Report all revenue and expenses relating to delivering Parent/Child Group Services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per Group service

9343 – Early Intervention Family/Caregiver Support Group Services

Report all revenue and expenses relating to delivering Family/Caregiver Support Group Services for the NYS Early Intervention Program (Part C IDEA).

Units of Service: per Group service

Other Programs

0670 - Transportation

This cost column should include revenue and expenses associated with transporting students/patients/clients to and from the organization when the vehicles are not assigned to a specific program. In cases where the organization only transports individuals attending VESID programs, report the data on Schedule CFR-2, column 7 - Other. Staff travel, transportation for field trips, and costs associated with transporting students to and from various facilities during the day, and any other transportation costs considered allowable per the SED Reimbursable Cost Manual should be reported as a cost of the appropriate program.

9230 - Special Education 1:1 Aides

This cost column should include the revenue and expenses for child specific 1:1 **teacher aides/assistants** and 1:1 **nurses** for **preschool** and **school age** students recommended by the CSE/CPSE and included as part of the student's Individualized Education Program.

9805 - Section 611 LEA Sub-allocation

Report the revenues and expenditures awarded by local education agencies (LEAs) pursuant to the Section 611 (g)(1) of the Individuals with Disabilities Act (IDEA). This change in reporting is effective July 1, 2005 in accordance with Chapter 437 of the Laws of 2005.

9806 - Section 619 LEA Sub-allocation

Report the revenues and expenditures awarded by local education agencies (LEAs) pursuant to the Section 619 (g)(1) of the Individuals with Disabilities Act (IDEA). This change in reporting is effective July 1, 2005 in accordance with Chapter 437 of the Laws of 2005.

9811 – Smart School/Instructional Technology NYS Grant

State funding provided to enhance and improve provider's educational technology and infrastructure for their students.

APPENDIX C: COUNTY CODES

COUNTY	CODE	COUNTY	CODE
ALBANY	01	NIAGARA	32
ALLEGANY	02	ONEIDA	33
BRONX	03	ONONDAGA	34
BROOME	04	ONTARIO	35
CATTARAUGUS	05	ORANGE	36
CAYUGA	06	ORLEANS	37
CHAUTAUQUA	07	OSWEGO	38
CHEMUNG	08	OTSEGO	39
CHENANGO	09	PUTNAM	40
CLINTON	10	QUEENS	41
COLUMBIA	11	RENSSELAER	42
CORTLAND	12	RICHMOND	43
DELAWARE	13	ROCKLAND	44
DUTCHESS	14	ST. LAWRENCE	45
ERIE	15	SARATOGA	46
ESSEX	16	SCHENECTADY	47
FRANKLIN	17	SCHOHARIE	48
FULTON	18	SCHUYLER	49
GENESEE	19	SENECA	50
GREENE	20	STEUBEN	51
HAMILTON	21	SUFFOLK	52
HERKIMER	22	SULLIVAN	53
JEFFERSON	23	TIOGA	54
KINGS	24	TOMPKINS	55
LEWIS	25	ULSTER	56
LIVINGSTON	26	WARREN	57
MADISON	27	WASHINGTON	58
MONROE	28	WAYNE	59
MONTGOMERY	29	WESTCHESTER	60
NASSAU	30	WYOMING	61
NEW YORK	31	YATES	62

APPENDIX D: POSITION TITLE CODES

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
SUPPORT STAFF		
101	Food Service Worker	All individuals associated with the supervision, preparation or production of food. Job titles may include: Baker, Butcher, Canteen Worker, Chef, Cook, Assistant Cook, Dietician, Dining Room Worker, Dishwasher, Food Manager, Assistant Food Manager, Kitchen Worker, Wait Staff.
102	Housekeeping and Maintenance	All individuals associated with the maintenance, cleaning and repair of the physical environment of a building. Job titles may include: Boiler Engineer, Carpenter, Chief Engineer, Cleaner, Custodian, Domestic Worker, Electrician, Engineer, Facility Related Workers, Foreman, Groundskeeper, Handyman, Housekeeper, Housekeeping Supervisor, Janitor, Maintenance Engineer, Maintenance Supervisor, Mason, Matron, Mechanic, Painter, Plumber, Porter, Supervisor of Physical Plant Operations.
104	Transportation Worker	All individuals engaged in maintaining the vehicles for or providing or supervising the transportation of program participants. Job titles may include: Attendant, Bus Monitor, Driver, Escort, Transportation Aide, Transportation Coordinator, Transportation Supervisor, Transportation Worker.
105	Security	All individuals engaged in providing or supervising the security of a building. Job titles may include: Caretaker, Security Officer, Watchman.
190	Other Support Staff	All individuals engaged in providing or supervising other support services not listed in the 100 series. Job titles may include Audio-Visual, Receiving Clerk, General Labor, etc.
DIRECT CARE STAFF		
213	Paraprofessional – Social Services	All individuals under the immediate supervision and direction of a supervisor or caseworker and performs various support activities of casework services. Job title may include: Case Aide, Group Worker, Intern-Social Services, Family Advocate/Therapist.
215	Supervising Teacher	Provides for direct supervision of teachers. Certified Special Education teacher serving as a teacher 50 percent or more of his or her assignment in such capacity. Pursuant to Part 80 of the Regulations of the Commissioner of Education, a school administrator and supervisor serving more than 25 percent (10 periods/week) of his or her assignment in an administrative or supervisory position must have valid administrative certification. If supervising more than 50 percent of assignment, see Code 518. For SEIT programs, the time a teacher spends performing the duties of a SEIT teacher must be reported using code 218 and the time a teacher spends directly supervising SEIT teachers must be reported using code 518.
218	Teacher - Special Education	A certified teacher who provides specialized instruction to students with disabilities.

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
220	Teacher – Physical Education	Self-explanatory.
222	Teacher – Other	A teacher performing functions not otherwise coded. Job titles may include teachers of: Drama, Home Economics, Industrial Arts, Keyboarding. See codes 263, 269, 270, 271, 272, 273 and 274 for other specialized teachers.
224	Teacher – Substitute	Self-explanatory. This is not a permanent position but is maintained on payroll records.
225	Teacher – Speech Certified	Certified as Teacher of Speech and Hearing Handicapped or Teacher of Deaf and Hearing Impaired.
227	Teacher - Coverage/Floating	An individual who covers sick days on a regular basis as a permanent position or as an extra teacher. The position is maintained on payroll records.
228	Teacher Aide	Assists teachers in non-teaching duties such as managing records, materials and equipment, attending to the physical needs of students and supervising students.
230	Teacher Aide/Assistant - Substitute	An individual who covers sick days of teacher aide or teacher assistant personnel. This is not a permanent position but it is maintained on payroll records.
232	Teacher Assistant	An individual who, under the supervision of a certified teacher, assists in such duties as working with individual students or groups of students on special instructional projects, providing teachers with information about students, assisting students in the use of instructional resources, assisting teachers in the development of instructional materials and assisting in instructional programs.
236	Guidance Counselor	Self-explanatory. Job titles may include: School Counselor, Vocational Counselor.
237	Curriculum Coordinator	A certified administrator or certified Special Education teacher with five years teaching experience who is knowledgeable about the New York State Learning Standards and responsible for ensuring that the program’s curriculum is developed and aligned to such Standards. Monitors implementation of the curriculum, oversees curriculum training, and any curriculum adaptations.
238	IEP Coordinator	A certified or licensed individual in one of the job titles below who is responsible for ensuring that IEP recommendations are implemented and that each service provider responsible for implementation of a student’s IEP is aware of his or her IEP responsibilities, including specific accommodations, program modifications, supports and/or services for the student, prior to implementation of such program. Serves as a liaison to the school district Committee on Special Education. Job Titles: Certified Special Education Teacher, School or Licensed Psychologist, Social Worker (Licensed or Master’s Level), or Certified Administrator.
243	Behavioral Support Staff (Replaces Crisis Intervention Worker)	An individual with less than a Master’s degree who assists in the implementation of positive behavioral interventions, supports and services.
255	Transition Coordinator	Conducts Level 1 Vocational Assessment, participates in development of transition plans, coordinates school and local resources to provide vocational opportunities, develops post-

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
		secondary linkages, and works with ACCES's Vocational Rehabilitation Offices to coordinate vocational assessments beyond Level 1.
257	Transition Specialist	Conducts and monitors implementation of transition services on a student's IEP, such as training, education, employment, and where appropriate, independent living skills. May include direct assistance to persons in supported employment placements or other job experiences and to their employer, under the direction of a special education teacher, social worker or psychologist.
260	Teacher – Non-Disabled	Self-explanatory. (For use in Preschool Integrated Programs).
263	Teacher – Blind and/or Deaf	Teacher who provides special education services to students with disabilities who are blind and/or deaf. Job titles include teachers certified as Teacher of the Blind and Partially Sighted, Teacher of the Visually Impaired, Teacher of the Deaf, Teacher of the Hard of Hearing, or Teacher of the Deaf/Blind.
265	Paraprofessional - Non-Disabled	Self-explanatory. (For use in Preschool Integrated Programs). Includes Non-Disabled Teacher Aides and Assistants
269	Teacher-Art	Teacher who is certified to provide art education to meet Part 100 program and units of credit requirements.
270	Teacher - Music	Teacher who is certified to provide music education to meet Part 100 program and units of credit requirements.
271	Teacher - Technology	Teacher who is certified by SED to provide technology studies to meet Part 100 program and units of credit requirements.
272	Teacher - Foreign	Teacher who is certified by SED to provide foreign language to meet Part 100 program and units of credit requirements.
273	Teacher – Resource Room	Certified special education teacher that provides resource room services consistent with a student's Individual Education Program (IEP).
274	Teacher - Reading	Teacher who is certified in reading by SED to provide reading instruction.
290	Other Direct Care Staff	Anyone not listed in the 200 Series engaged in providing direct-care services.
CLINICAL STAFF		
315	Nurse Practitioner/ Nursing Supervisor	Licensed professional nurse who has advanced certification through the American Nurses Association in a clinical specialty area or who has completed a program registered by SED and received a certification of completion in a clinical specialty area relevant to the treatment of the disability being treated.
316	Nurse - Licensed Practical	Licensed as a practical nurse by SED. Under the supervision of a supervisory nurse or registered nurse, the LPN administers prescribed medication and treatment to persons and assists in carrying out the planned health care program and maintenance of health records.

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
317	Nurse - Registered	Licensed as a registered nurse by SED. Under the supervision of a physician or a supervising nurse, this person provides direct treatment and dispenses prescribed medication.
318	Psychiatrist	Licensed as a physician by SED and certified or eligible to be certified by an American Board of Psychiatry and Neurology. Responsible for providing psychiatric services, including diagnosis and prognosis for purposes of determining appropriate placement services. Also counsels other appropriate staff regarding individual therapy. Use of this title for SED is limited to consulting psychiatric services and not for the direct provision of psychiatric services.
319	Physician's Assistant (Allowed in 9190 Program Only)	Licensed and registered as such by SED and whose practice is in conformity with Section 3701 of the Public Health Law
320	Physician – M.D. (Allowed in 9190 Program Only)	Licensed by SED as a physician in general practice or specialized medicine.
321	Psychologist (Licensed)	Licensed as a psychologist by SED. Performs duties associated with the diagnosis and treatment of persons, including administering and interpreting projective and other psychological tests.
322	Psychologist (Master's Level)/Behavioral Specialist	Individuals who have at least a Master's degree in psychology, working in accordance with the exemptions found in Article 153, Title 8 of the Education Law.
323	Psychology Worker/Other Behavioral Worker	Individuals with less than a Master's degree in psychology, working in accordance with the exemptions found in Article 153, Title 8 of the Education Law who assist in the implementation of positive behavioral interventions, supports, and services.
324	Social Worker – Licensed (LMSW, LCSW)	Individuals who are licensed in this discipline by SED and who are engaged in the provision of routine social work. LCSW must meet the additional educational experience and examination requirements as mandated.
325	Social Worker - Master's Level (MSW)	Individuals with a Master's degree in social work who are not licensed by SED but who are engaged in the provision of routine social work.
330	Therapist - Recreation	Individuals who have a Bachelor or Master's degree in therapeutic recreation from a program approved by SED or a registration in this discipline by the National Therapeutic Recreation Society.
332	Therapist - Activity/Creative Arts	Provide, supervise or direct professional activity or creative arts therapy services (music, art, etc.) and hold at least a Bachelor's degree and, where applicable, are certified by SED or a recognized national professional organization.
333	Therapist – Occupational	Individuals licensed in this discipline by SED.
334	Therapist – Physical	Individuals licensed in this discipline by SED.
335	Therapist – Speech	Individuals licensed in this discipline by SED.
337	Therapy Assistant/Activity Assistant	An individual performing functions defined as teachers or therapists not otherwise coded.
339	Nurse's Aide/Medical Aide	Under the supervision of the professional staff, assists in performing routine duties.

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
342	Clinical Coordinator	Responsible for overseeing clinical aspects of the program, including staff supervision and case review.
347	Staff Training	An individual responsible for training of program participant care staff in the areas of counseling, record keeping, case management, etc.
390	Other Clinical Staff/Assistants	All individuals engaged in providing, supervising or specifically directing clinical services, not included in the 300 series. Also includes Dentistry, Radiology, Laboratory, Central Medical Supply.
CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
PROGRAM ADMINISTRATION STAFF		
501	Program or Site Director	An individual responsible for the overall direct administration of: 1) a specific program type that operates at more than one site or; 2) multiple program types that operate at a single site; or 3) a specific program type at a single site.
502	Assistant Program or Assistant Site Director	Assists the Program Director or the Site Director in the direct administration of a specific program type. Job title may include Assistant Education Director.
505	Office Worker	Responsible for record keeping, billing, correspondence and general office duties. Job titles may include Bookkeeper, Clerk, Receptionist, Secretary and Typist.
506	Accountant (Program Administration)	Responsible for the establishment and maintenance of the program's systematic fiscal transactions for the agency. This position title does not include consultants.
511	CSE/CPSE Chairperson	A certified or licensed individual in one of the job titles below who serves as the chairperson of the Committee on Special Education (CSE) or Committee on Preschool Special Education (CPSE). Individuals must be qualified to provide or supervise special education and be knowledgeable about the general education curriculum and the availability of special education resources. Job Titles: Certified Special Education Provider (e.g., teacher or related service provider), Certified School Psychologist, Licensed Psychologist, Certified Administrator.
513	Supervisor – Social Services	Staff who directly supervise or assist in the supervision of the provision of Clinical Services, Social Services, or Educational Related Services. May also include Supervising Teacher, Head Teacher.
514	Principal of School	Self-explanatory.
515	Assistant Principal	Self-explanatory.
516	Coordinator/ Department Head	Self-explanatory. Job titles may include: Program Specialist, Director of Program Development, Program Coordinator/Manager
518	Supervising Teacher	Provides for direct supervision of teachers. Certified administrator or supervisor of special education programs if serving more than 50 percent of his or her assignment in such capacity. Pursuant to Part 80 of the Regulations of the Commissioner of Education, a school administrator and supervisor serving more than 25 percent (10 periods/week) of his or her assignment in an administrative or supervisory position must have valid administrative certification. If supervising less than 50 percent of assignment, see Code 215. For SEIT programs, all the time a teacher spends directly supervising

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
		SEIT teachers must be reported using this code. Certification requirements detailed above apply.
521	Utilization Review/Quality Assurance (Program Administration)	An individual responsible for monitoring the adequacy and/or appropriateness of program participant services and for compliance with all applicable federal, state and local laws, regulations and policies.
590	Other Program Administration Staff	Any program administration staff not listed in the 500 series.

CODE NUMBER	POSITION TITLE/ JOB TITLE(S)	DEFINITIONS
AGENCY ADMINISTRATION STAFF		
602	Assistant Superintendent	Assists the District Superintendent in the overall administration of the district and acts on their behalf when necessary.
603	Treasurer (Comptroller)	Responsible for overall fiscal management of the district. Also includes Business Official, Director of Finance.
604	Director of Division	Responsible for overseeing a major segment of functions for the district. Also includes Director of Admissions, Director of Purchasing, Director of Human Services, Director of Personnel, Director of Public Relations, Director of Data Processing.
605	Office Worker	Responsible for district-wide record keeping, billing, correspondence, and general office duties. Job titles may include Bookkeeper, Clerk, Receptionist, Secretary and Typist
606	Accountant	Responsible for the establishment and maintenance of the district's systematic fiscal transactions and preparation of financial statements for the district. This position title does not include consultants.
609	Computer/Data/Statistical Specialist	Responsible for developing computer applications and/or provision of computer support.
612	Administrative Assistant	This position functions primarily as assistant to district management in the performance of such activities as communications with internal or external parties, preparation of written work, liaison work, etc.
620	Staff Training	An individual responsible for training of agency staff.
690	Other Agency Administration Staff	Includes all miscellaneous administration titles not included in the 600 series.

APPENDIX E**AGENCY CODES**

Provider Name	Agency Code
BOCES ALBANY-SCHOHARIE-SCHENECTADY	35240
BOCES BROOME-TIOGA	00200
BOCES CATTARAUGUS-ALLEGANY-ERIE-WYOMING	00300
BOCES CAYUGA-ONONDAGA	00400
BOCES CLINTON-ESSEX-WARREN-WASHINGTON	00500
BOCES DELAWARE-CHENANGO-MADISON	00600
BOCES DUTCHESS	00700
BOCES EASTERN SUFFOLK	23220
BOCES ERIE 1	00900
BOCES ERIE 2 CHAUTAUQUA-CATTARAUGUS	01000
BOCES FRANKLIN-ESSEX-HAMILTON	20420
BOCES GENESEE VALLEY	01200
BOCES GREATER SOUTHERN TIER	37320
BOCES HAMILTON-FULTON-MONTGOMERY	01300
BOCES HERKIMER-FULTON-OTSEGO	01400
BOCES JEFFERSON-LEWIS-HAM-HRK-ONEIDA	01500
BOCES MADISON-ONEIDA	01600
BOCES MONROE 1	01700
BOCES MONROE 2-ORLEANS	01800
BOCES NASSAU	23110
BOCES ONEIDA-MADISON-HERKIMER	02000
BOCES ONONDAGA-CORTLAND-MADISON	36380
BOCES ORANGE-ULSTER	03800
BOCES ORLEANS-NIAGARA	02300
BOCES OSWEGO	25860
BOCES OTSEGO-DELAWARE-SCHOHARIE	02500
BOCES PUTNAM-NORTH WESTCHESTER	21960
BOCES QUESTAR III (RENSELAER-COLUMBIA-GREENE)	20380
BOCES ROCKLAND	02800
BOCES SOUTHERN WESTCHESTER	03000
BOCES ST. LAWRENCE-LEWIS	26320
BOCES SULLIVAN	22470
BOCES TOMPKINS-SENECA-TIOGA	03400
BOCES ULSTER	03500
BOCES WASH-SAR-WAR-HAM-ESSEX	27440
BOCES WAYNE-FINGER LAKES	02200
BOCES WESTERN SUFFOLK	03700

APPENDIX F
COMPONENTS OF A COMPREHENSIVE PRESCHOOL EVALUATION

TYPE OF EVALUATION	AREAS THAT MAY BE EVALUATED	CERTIFIED OR LICENSED PERSON WHO WOULD CONDUCT EVALUATIONS
CORE EVALUATIONS:		
Physical Examination	Vision, hearing, physical development, medical needs, and physical factors which may affect ability to learn.	Physician Nurse Practitioner Physician's Assistant
Social History	Interpersonal, familial and environmental variables which influence a child's general adaptation to school, including but not limited to information on family composition, family history, developmental history of the child, health of the child, family interaction and adjustment of the child.	Social Worker School Psychologist Registered Nurse Special Education Teacher
Individual Psychological Evaluation	General intelligence, developmental strengths and weaknesses, Learning, behavioral and other personality characteristics to the extent the school psychologist deems necessary for purposes of needs identification.	School Psychologist
REFERRAL EVALUATIONS:		
Educational Evaluations	Abilities and needs in relation to developmental domains, Observation of a student's performance and behavior, skills in activities of daily living, expected rate of progress in acquiring skills and information and learning style.	Special Education Teacher
Other evaluations in areas relating to the suspected disability	Factors related to areas of health, vision, hearing, language and communication skills and motor, adaptive and/or cognitive development.	Audiologist Teacher of Speech/Hearing handicapped Physical Therapist Speech Pathologist Occupational Therapist Special Education Teacher Medical specialists with knowledge in areas of suspected Disability, i.e.: Otolaryngologist Ophthalmologist/Optomtrist Physiatrist Orthopedist Psychiatrist Neurologist